



Active Galactic Nuclei at the Half-Century Mark

Bradley M. Peterson
The Ohio State University

UFRGS Lectures

November 2013

Topics to be Covered

- *Lecture 1:* AGN properties and taxonomy, fundamental physics of AGNs, AGN structure
- *Lecture 2:* The broad-line region, emission-line variability, reverberation mapping principles, practice, and results, the radius–luminosity relationship, AGN outflows and disk-wind models
- *Lecture 3:* AGN luminosity function and its evolution, role of black holes, direct/indirect measurement of AGN black hole masses, relationships between BH mass and AGN/host properties, “industrial scale” reverberation mapping

A Caveat: “Buyer Beware”

- The AGN phenomenon is complicated.
- Any attempt to cover it in a few lectures will be biased.
- In these lectures, I will try to focus on very fundamental properties, black hole masses in particular.

“Active Galactic Nuclei (AGN)”

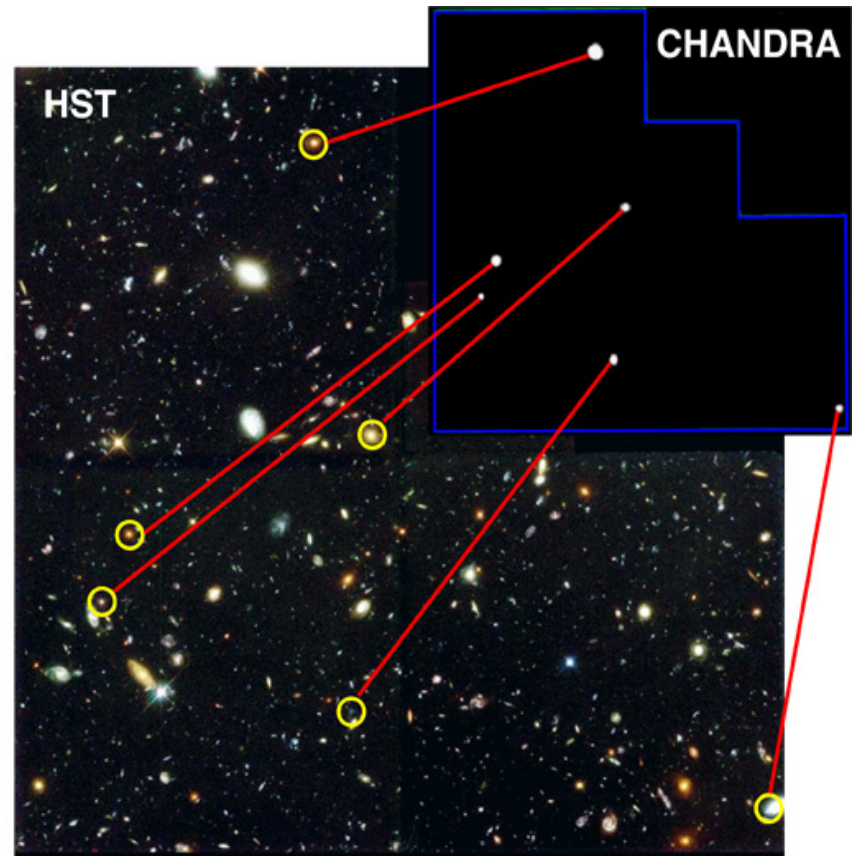
- The phrase “active nucleus” was originally used by V.A. Ambartsumian in 1968
 - “the violent motions of gaseous clouds, considerable excess radiation in the ultraviolet, relatively rapid changes in brightness, expulsions of jets and condensations” *Ambartsumian 1970*
- First use in paper title: Dan Weedman (1974)
 - “nuclei that contain extensive star formation or luminous non-thermal sources” *BAAS, 6, 441*
- First use in PhD dissertation title: Jean Eilek (1975)
 - “Cosmic Ray Acceleration of Gas in Active Galactic Nuclei”
University of British Columbia

“Active Galactic Nuclei (AGN)”

- “Activity” was usually taken to mean “radio source”, although sometimes also meant “starburst”
- Came to be used to encompass “Seyfert galaxies” and “quasars”
 - “...energetic phenomena in the nuclei, or central regions, of galaxies which cannot be attributed clearly and directly to stars.”
Peterson 1997, An Introduction to Active Galactic Nuclei
- Modern definition: “Active nuclei are those that emit radiation that is fundamentally powered by accretion onto supermassive ($> 10^6 M_{\odot}$) black holes.”

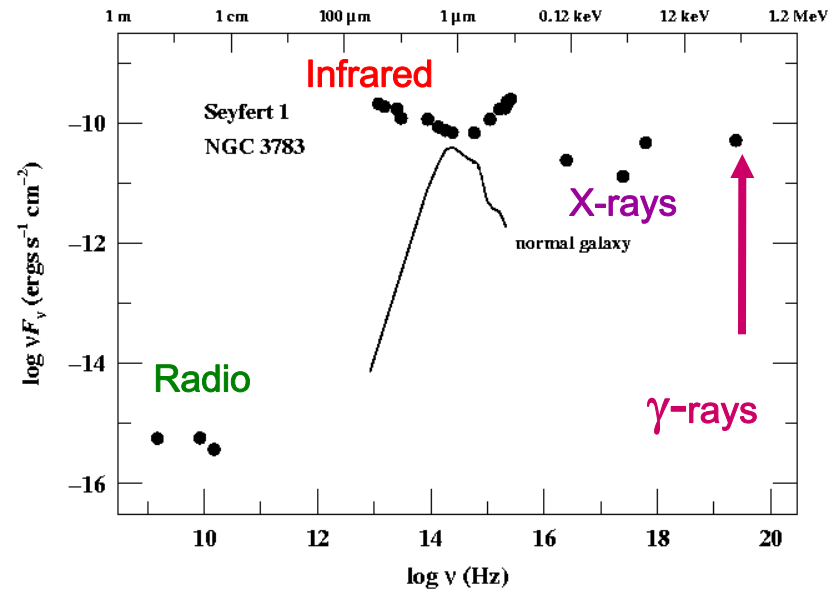
Properties of AGNs

- Strong X-ray emission



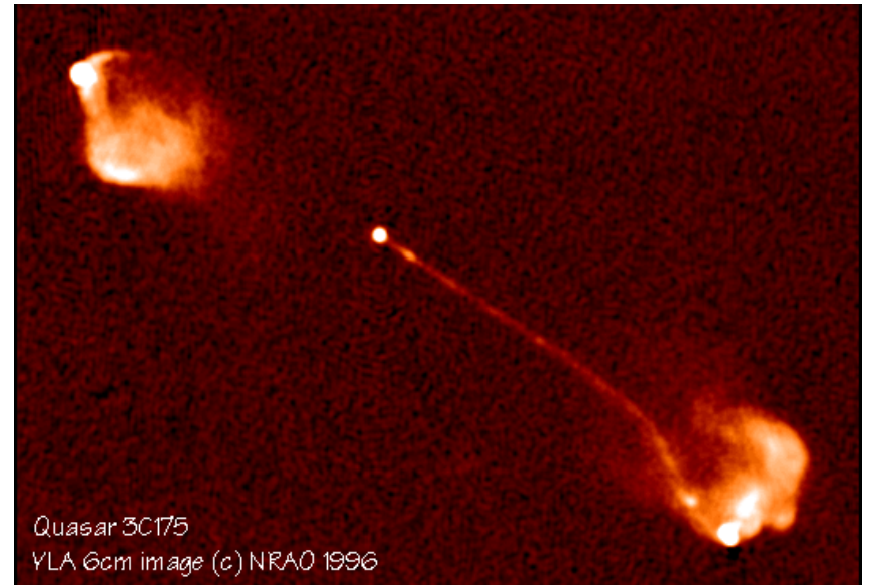
Properties of AGNs

- Strong X-ray emission
- Non-stellar ultraviolet/optical continuum emission



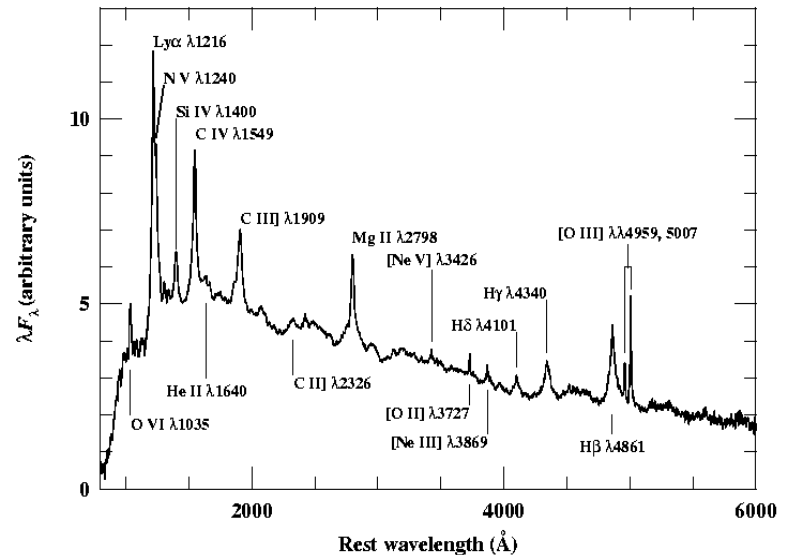
Properties of AGNs

- Strong X-ray emission
- Non-stellar ultraviolet/optical continuum emission
- Relatively strong radio emission



Properties of AGNs

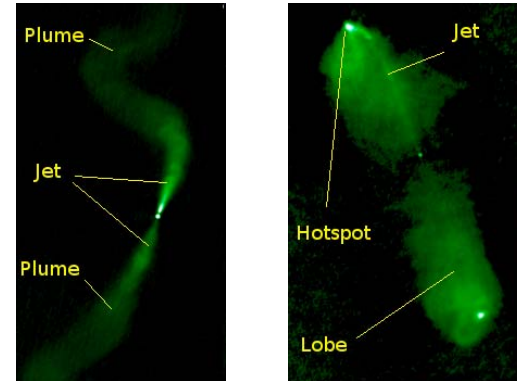
- Strong X-ray emission
- Non-stellar ultraviolet/optical continuum emission
- Relatively strong radio emission
- UV through IR spectrum dominated by strong, broad emission lines.



Not every AGN shares all of these characteristics.

AGN Classification

- There are three major classes of AGNs:
 - Seyfert galaxies
 - Quasars
 - Radio galaxies



			Radio galaxies	
Object	Quasars	Seyferts	FR I	FR II
Luminosity	High	Low	Low	High
Accretion rate	High	High	Low	Low

LINERs are somewhat problematic in this classification.

Seyfert Galaxies

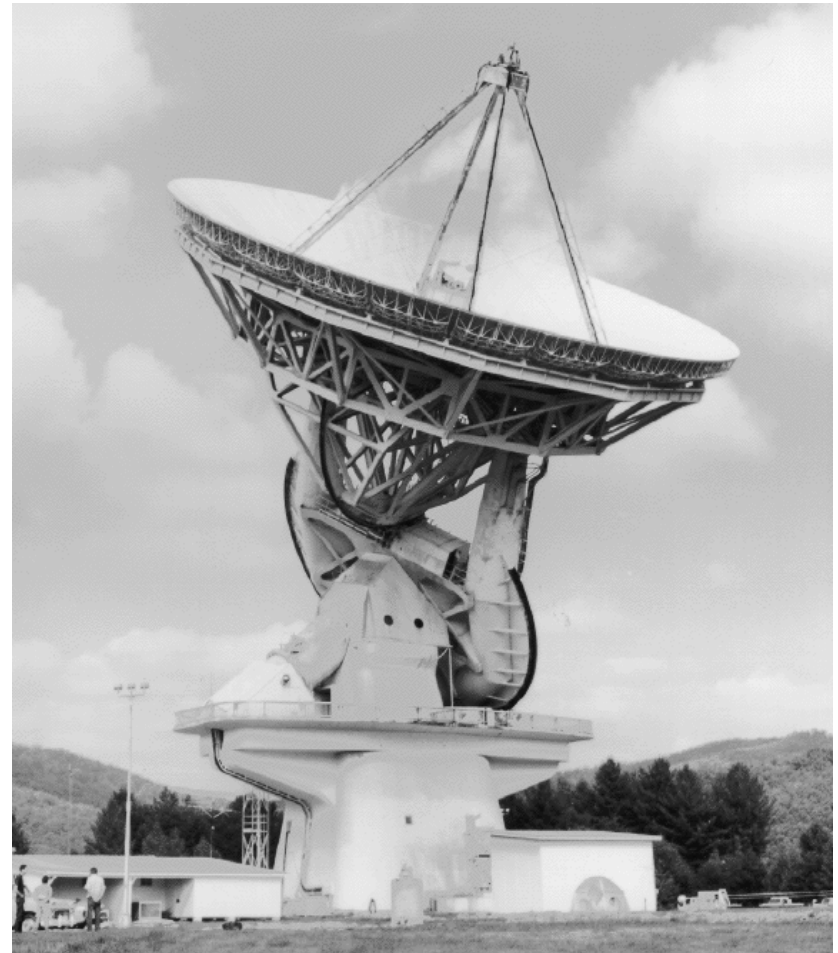
- Spiral galaxies with high surface brightness cores
 - Spectrum of core shows strong, broad emission lines



NGC 4151

Quasars

- “Quasar” is short for “quasi-stellar radio source”.
 - Discovered in 1960s as radio sources.
 - Radio astronomy was an outgrowth of radar technology developed in the Second World War



Radio Galaxies

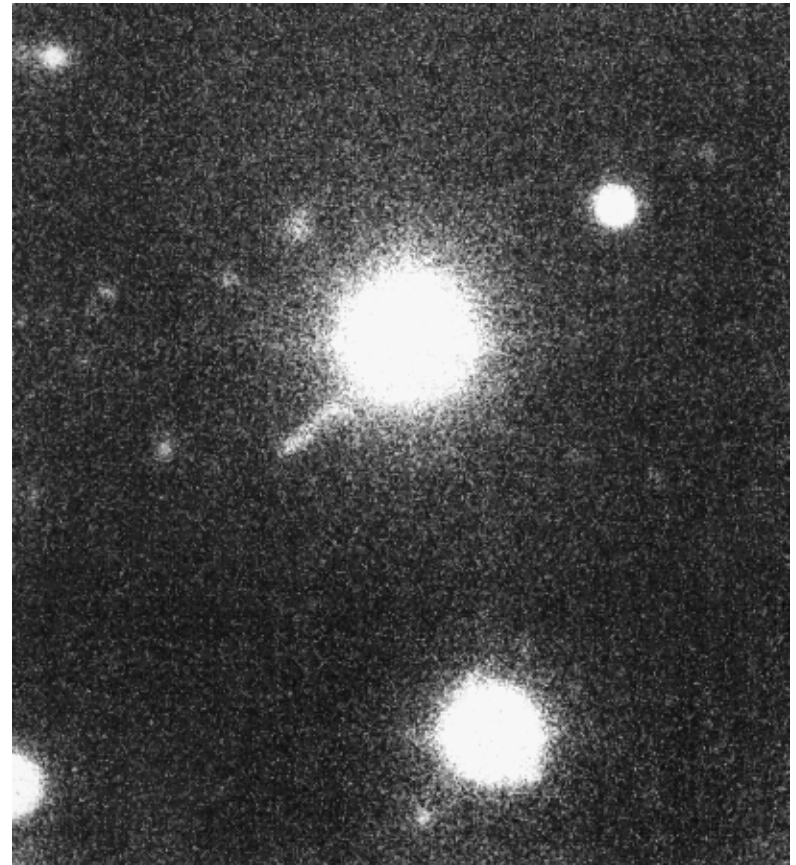
- Most radio sources were found to be associated with galaxies.
- However, some of the radio sources were high Galactic latitude (out of the Galactic plane) star-like sources.



The radio galaxy
Centarus A

Quasars

- These “radio stars” had a somewhat “fuzzy” appearance.
- Some radio stars had linear features like “jets”.
- These unusual sources were thus “quasi-stellar radio sources”.



The brightest (still!)
quasi-stellar source, 3C 273

Optical Studies of Quasi-Stellar Radio Sources


- Optical observations of these sources were made with the Hale 5-m telescope on Mt. Palomar.
- Early spectra were confusing. In 1963, Maarten Schmidt identified features as redshifted emission lines.



Maarten Schmidt (left) and Allan Sandage

First Spectrum of 3C 273

$H\delta$ $H\gamma$ $H\beta$

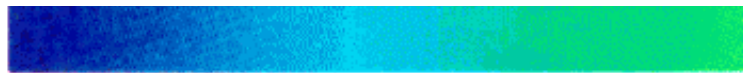


3C 273 ³

Comparison ⁿ

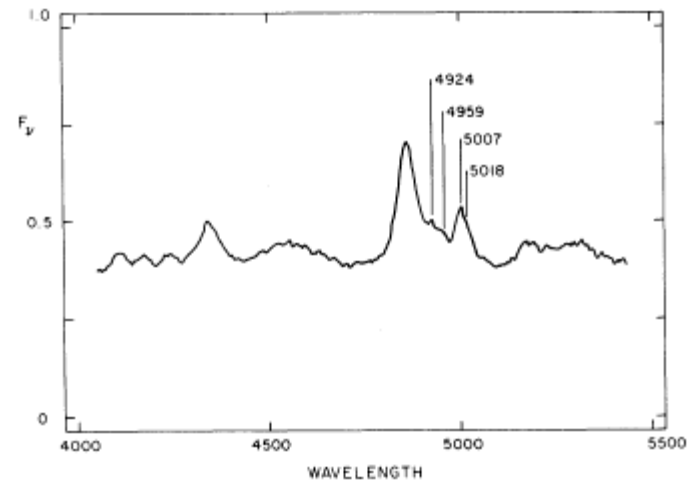


$H\delta$ $H\gamma$ H

4000 Å

5



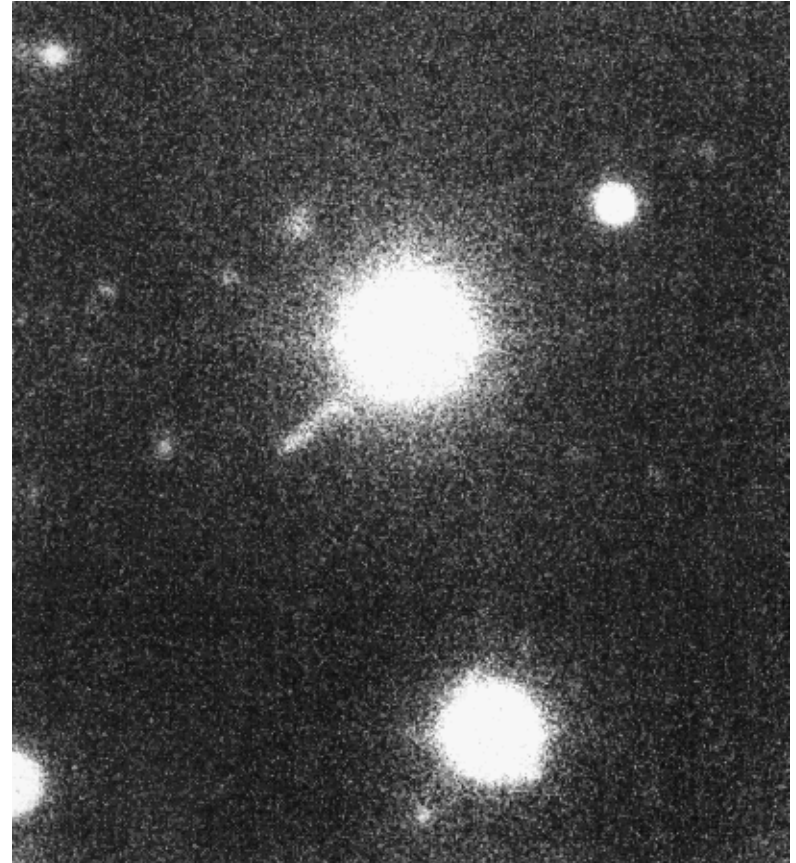
Å

Quasi-Stellar Sources

- The spectral lines in 3C 273 are highly redshifted:

$$z = \frac{\Delta\lambda}{\lambda} = 0.158$$

- This is comparable to the most distant clusters of galaxies known in 1963.



3C 273

The Brightest Objects in the Universe

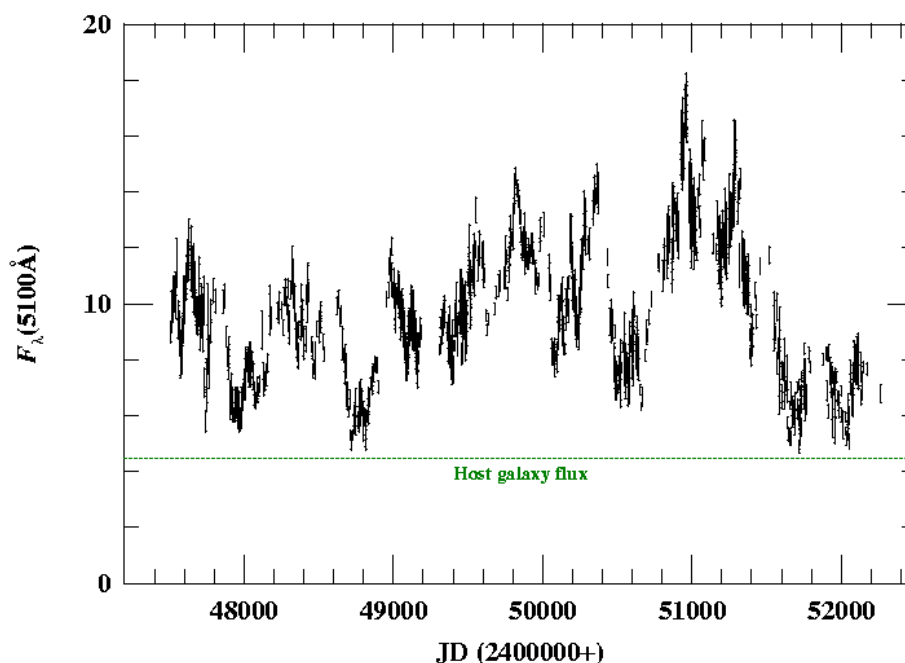
- For 3C 273, the large redshift implies:
 - $D \approx 680$ Mpc
 - 3C 273 is about 100 times brighter than giant galaxies like the Milky Way or M 31.



The Andromeda Galaxy M 31

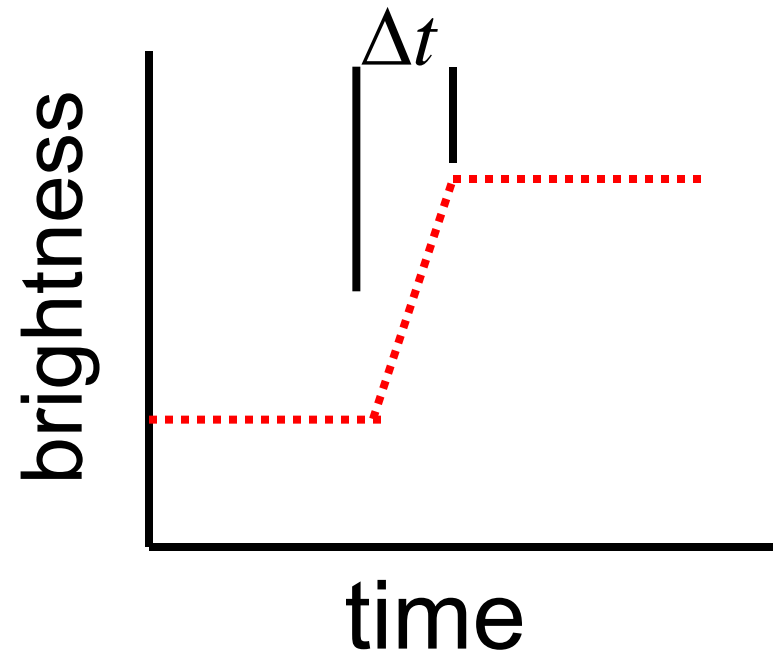
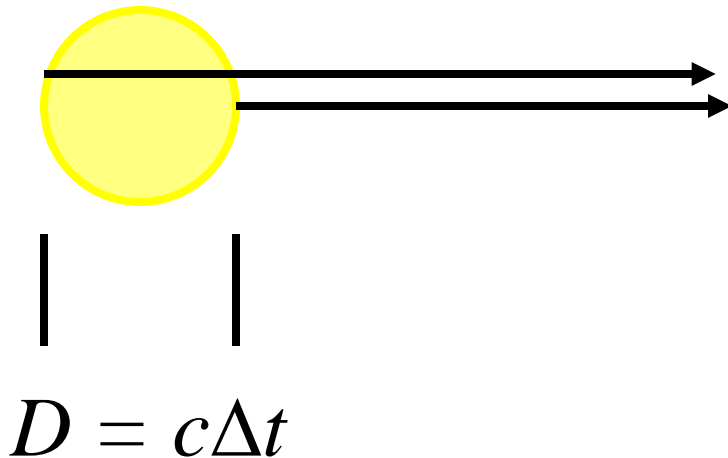
And Now Another Surprise...

- Shortly after their discovery, quasars were found to be highly variable in brightness.
- Rapid variability implies that the emitting source must be very small.

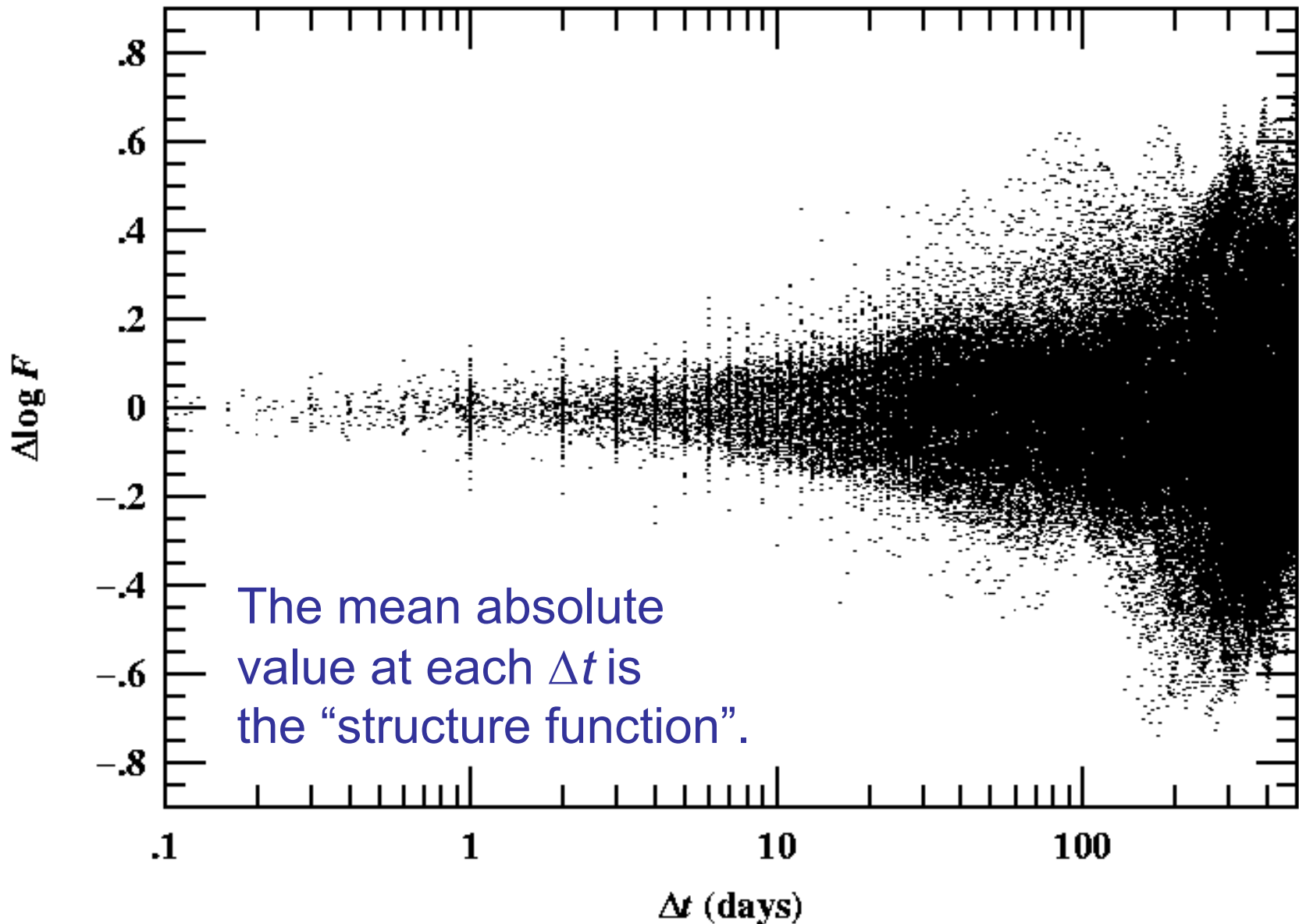


Source “Coherence”

- A variable source must be smaller than the light-travel time associated with significant variations in brightness.



Amplitude of Optical Variability



Sizes of Quasars

- Variability on time scales as short as one day implies sources that are less than one light day in size.
- A volume the size of our Solar System produces the light of a nearly a trillion (10^{12}) stars!
- This ushered in a two-decade controversy about the nature of quasars redshifts.
 - Weedman's premise: this wouldn't have happened had not the original Seyferts and original quasars been such extreme members of their respective classes



Seyferts and Quasars

- Modern view:
 - Seyferts are lower-luminosity AGNs
 - Quasars are higher-luminosity AGNs
- View in the 1960s:
 - Seyferts are relatively local spiral galaxies with rather abnormally bright cores
 - Quasars are mostly unresolved, high redshift, highly luminous, variable, non-stellar radio sources



NGC 4051
 $z = 0.00234$
 $\log L_{\text{opt}} = 41.2$



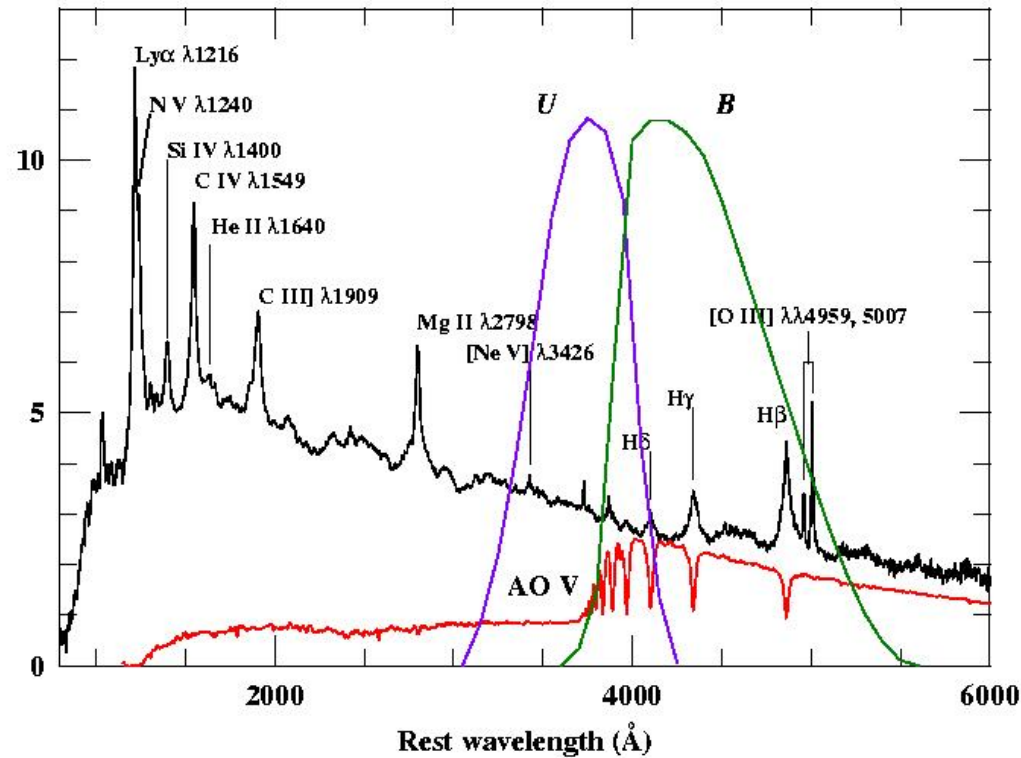
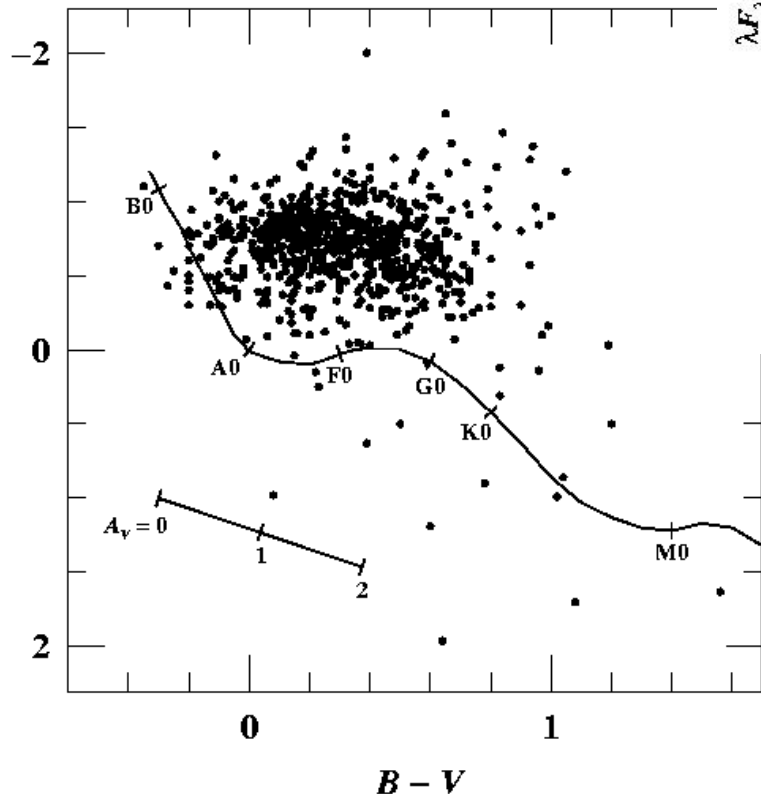
Mrk 79
 $z = 0.0222$
 $\log L_{\text{opt}} = 43.7$



PG 0953+414
 $z = 0.234$
 $\log L_{\text{opt}} = 45.1$

Finding Quasars

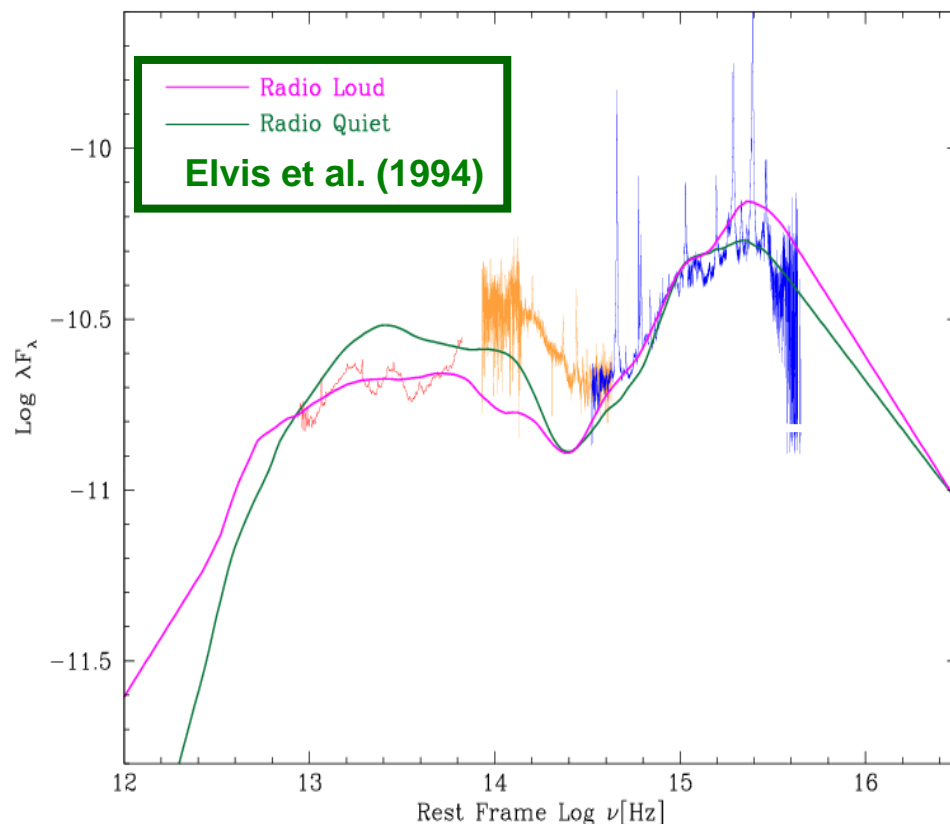
- That quasars are very blue compared to stars was recognized early.



Optical color selection allows us to bypass the difficult radio identification by using “UV excess”.

Quasi-Stellar Objects

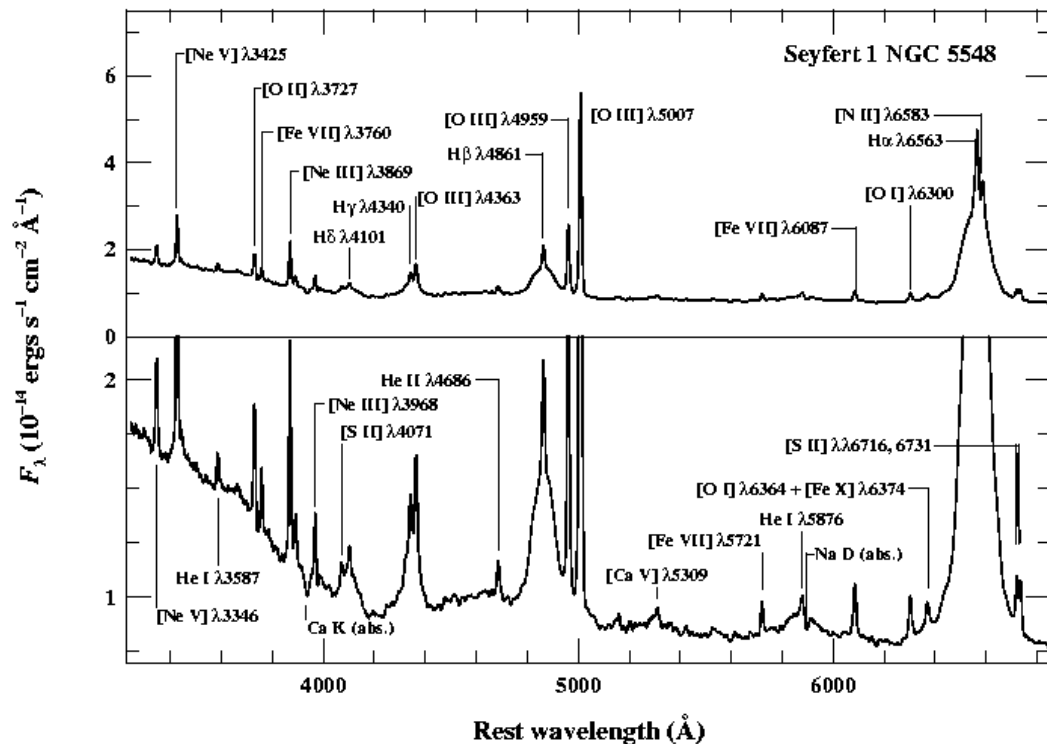
- Most of these blue star-like sources are like the radio-selected quasars, but are ***radio-quiet***.
- These became generically known as “***quasi-stellar objects***”, or ***QSOs***.



**Spitzer-era mean SED from
Shang et al. (2006)**

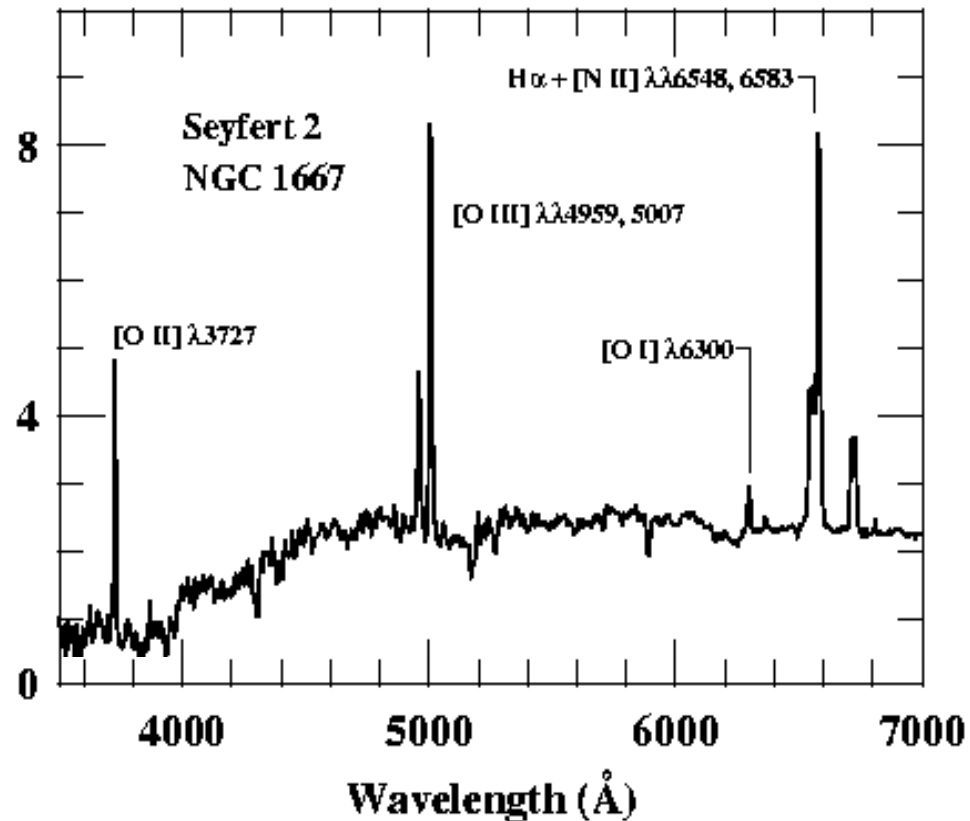
AGN Taxonomy

- Khachikian and Weedman (1974) found that Seyfert galaxies could be separated into two spectroscopic classes.
 - Type 1 Seyferts have broad and narrow lines



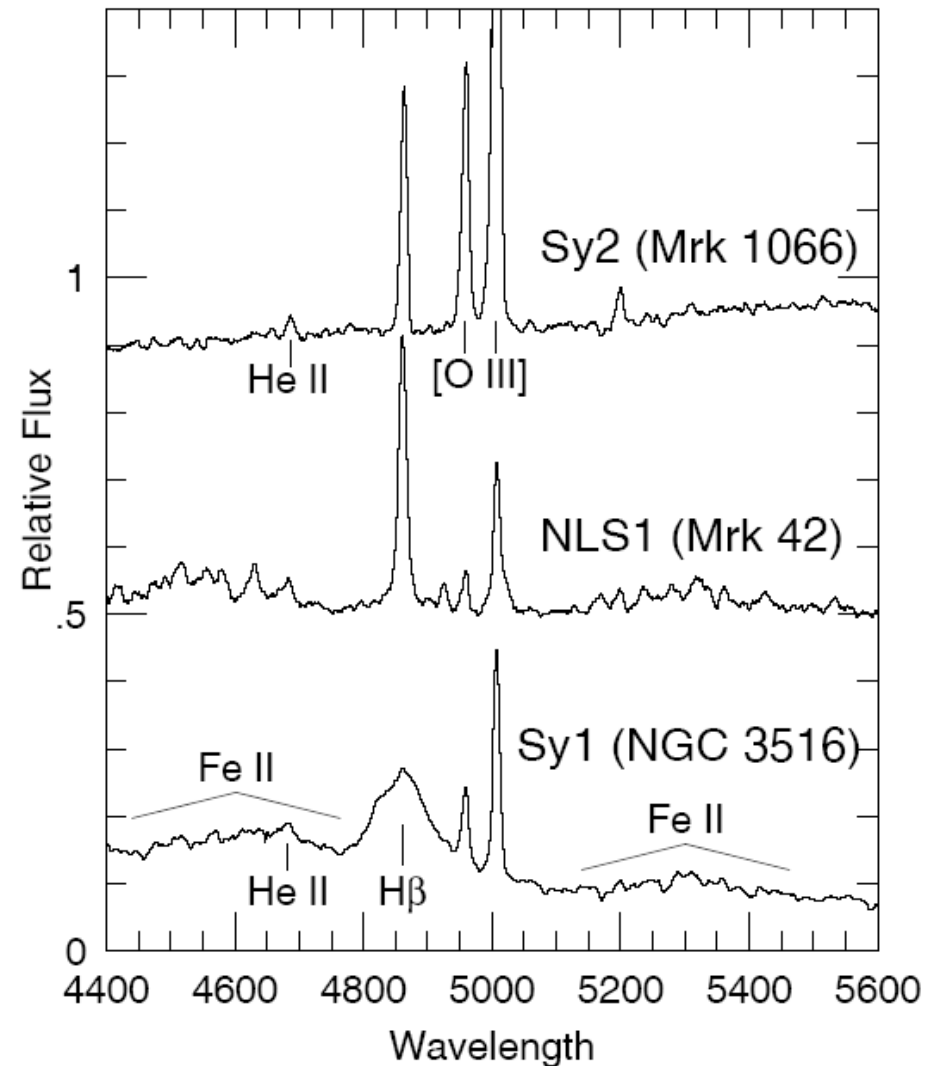
AGN Taxonomy

- Khachikian and Weedman (1974) found that Seyfert galaxies could be separated into two spectroscopic classes.
 - Type 1 Seyferts have broad and narrow lines
 - Type 2 Seyferts have only narrow lines



AGN Taxonomy

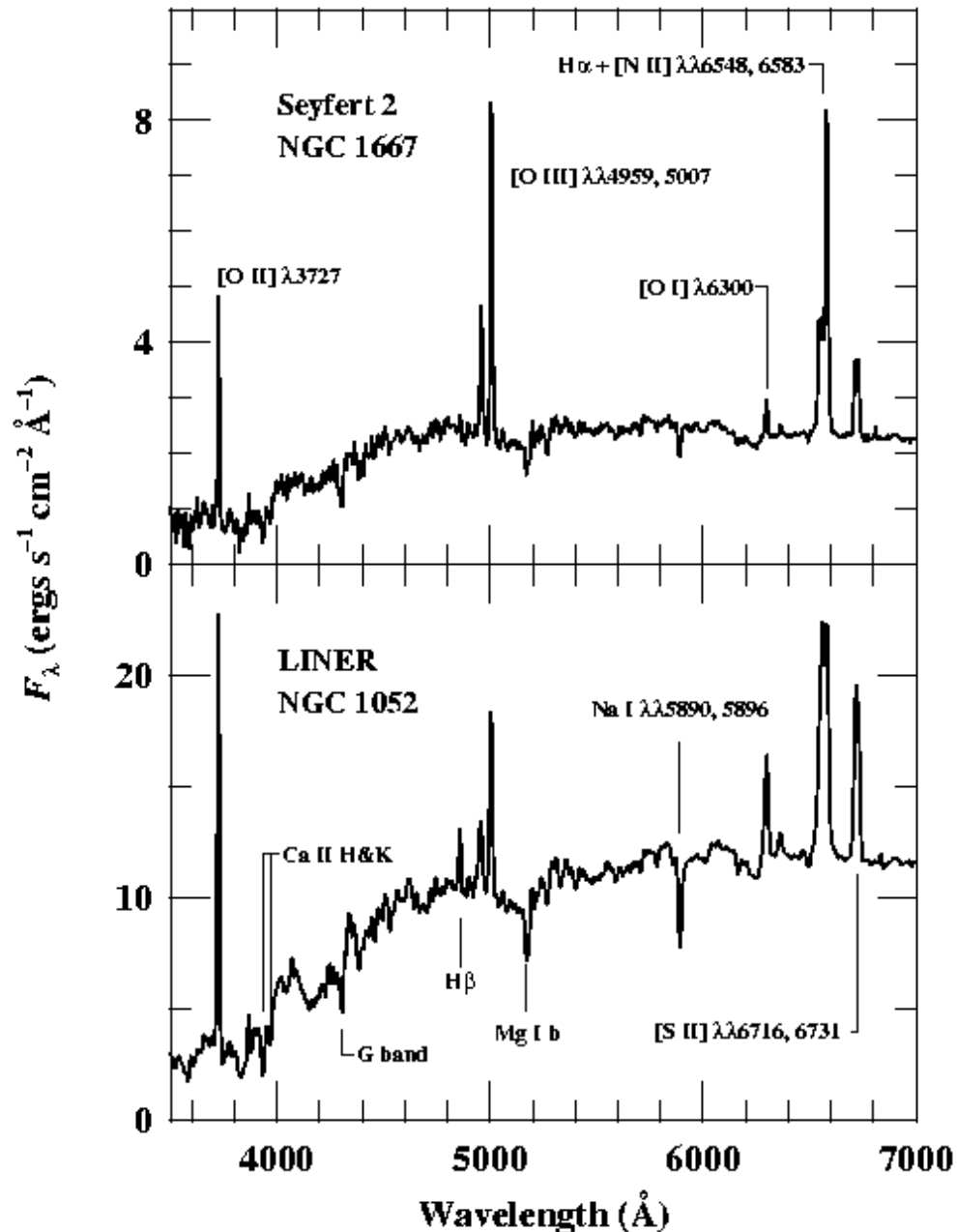
- Narrow-line Seyfert 1 (**NLS1**) galaxies are true broad-line objects, but with an especially narrow broad component, $\text{FWHM} < 2000 \text{ km s}^{-1}$



Osterbrock & Pogge 1985

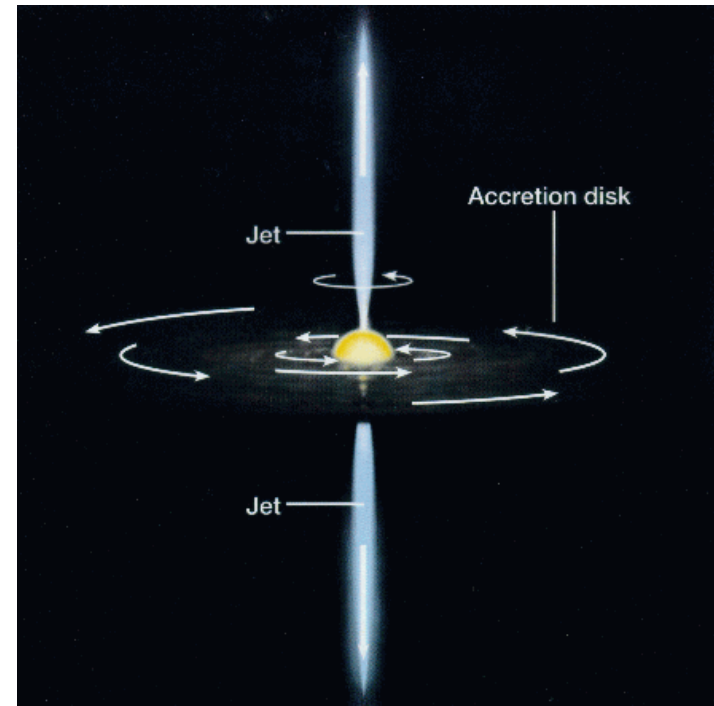
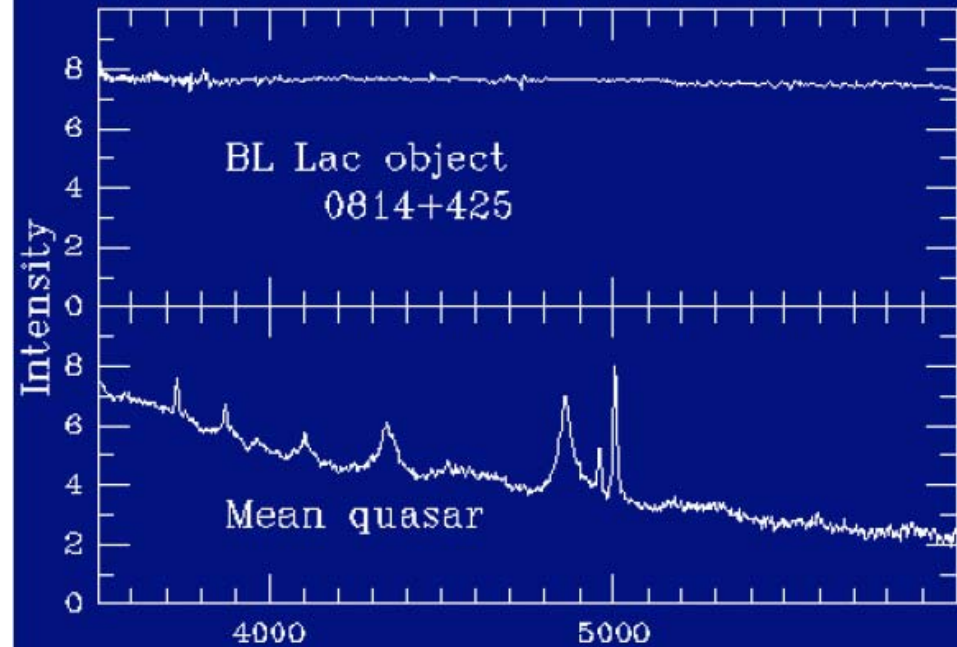
AGN Taxonomy

- Heckman (1980) identified a class of Low-Ionization Nuclear Emission Region (***LINER***) galaxies.
 - Lower ionization level lines are stronger than in Sy 2



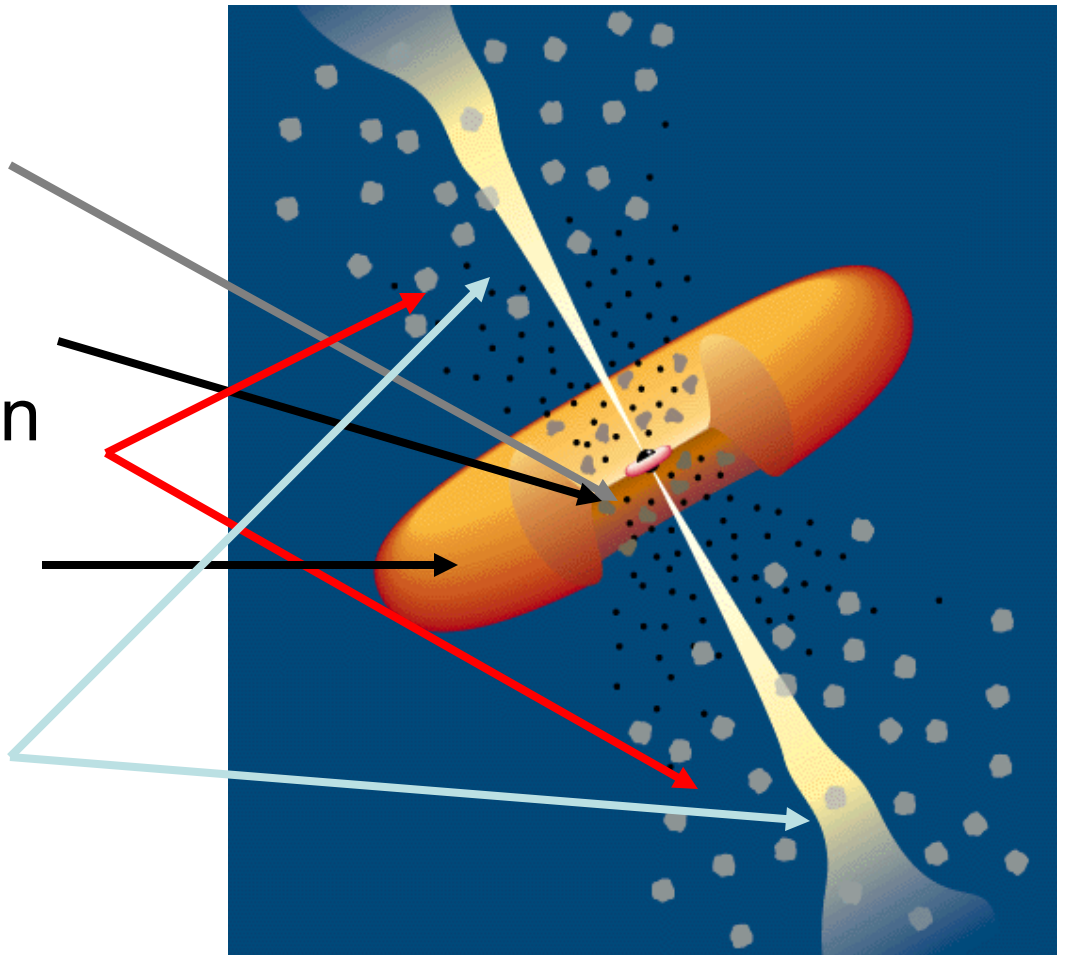
AGN Taxonomy

- ***BL Lac objects*** share many quasar properties (blue, variable, radio sources), but have no emission or absorption lines.
 - Appear to be quasars observed along the jet axis
 - Are often subsumed into a larger class called ***blazars***.



AGN Paradigm circa 1995

- Black hole plus accretion disk
- Broad-line region
- Narrow-line region
- Dusty “obscuring torus”
- Jets (optional?)



Urry & Padovani 1995

Driving Force in AGNs

- Simple arguments suggest AGNs are powered by supermassive black holes
 - Eddington limit requires $M \geq 10^6 M_{\odot}$ for moderately luminous Seyfert galaxy with $L \approx 10^{44} \text{ ergs s}^{-1}$
 - Requirement is that self-gravity exceeds radiation pressure

Key insights: Salpeter 1964; Zel'dovich & Novikov 1964; Lynden-Bell 1969

- Energy flux

$$F = \frac{L}{4\pi r^2}$$

- Momentum flux

$$P_{\text{rad}} = \frac{F}{c} = \frac{L}{4\pi r^2 c}$$

- Force due to radiation

$$F_{\text{rad}} = P_{\text{rad}} \sigma_e = \frac{L \sigma_e}{4\pi r^2 c}$$

- This must be less than gravity

$$\frac{L \sigma_e}{4\pi r^2 c} < \frac{GMm}{r^2}$$

$$L < \frac{4\pi Gcm}{\sigma_e} M \approx 1.26 \times 10^{38} \left(\frac{M}{M_{\odot}} \right) \text{ergs s}^{-1}$$

“The Eddington Limit”

- Potential energy of infalling mass m is converted to radiant energy with some efficiency η so $E = \eta mc^2$
- Potential energy is $U = GM_{\text{BH}}m/r$
- Energy dissipated at $\sim 10 R_g$ where $R_g = GM_{\text{BH}} / c^2$ (to be shown)
- Available energy:

$$U = \frac{GM_{\text{BH}}m}{10R_g} = 0.1 \frac{GM_{\text{BH}}m}{GM_{\text{BH}} / c^2} = 0.1mc^2$$

- Thus the efficiency of accretion $\eta \approx 0.1$

Compare to hydrogen fusion $4\text{H} \rightarrow \text{He}$ with $\eta = 0.007$

Eddington Rate

- Accretion rate necessary to attain Eddington luminosity is the maximum possible

$$\dot{M}_{\text{Edd}} = \frac{L_{\text{Edd}}}{\eta c^2} = \frac{1.47 \times 10^{17}}{\eta} \left(\frac{M_{\text{BH}}}{M_{\odot}} \right) \text{gm s}^{-1}$$

- Eddington rate is ratio of actual accretion rate to maximum possible

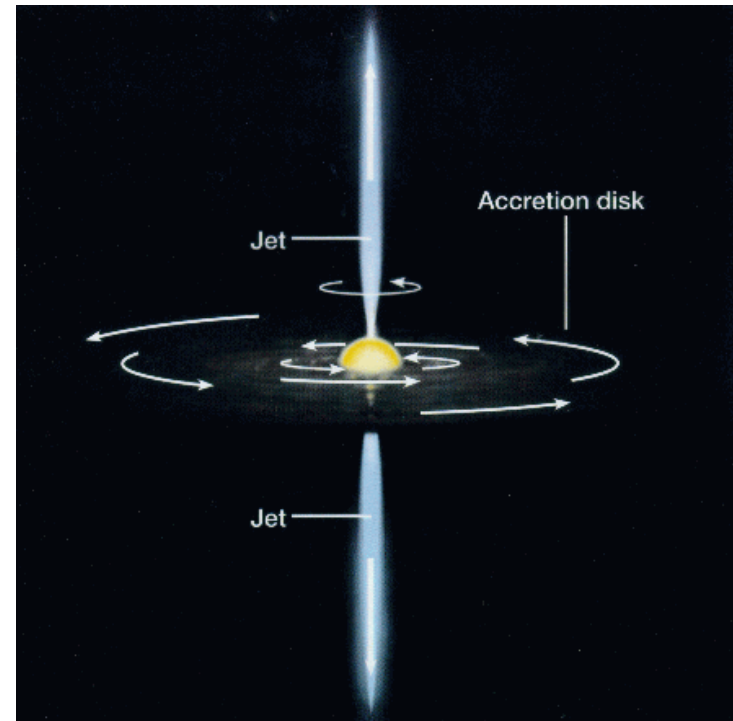
$$\dot{m} \equiv \lambda = \frac{\dot{M}}{\dot{M}_{\text{Edd}}}$$

Accretion Disks

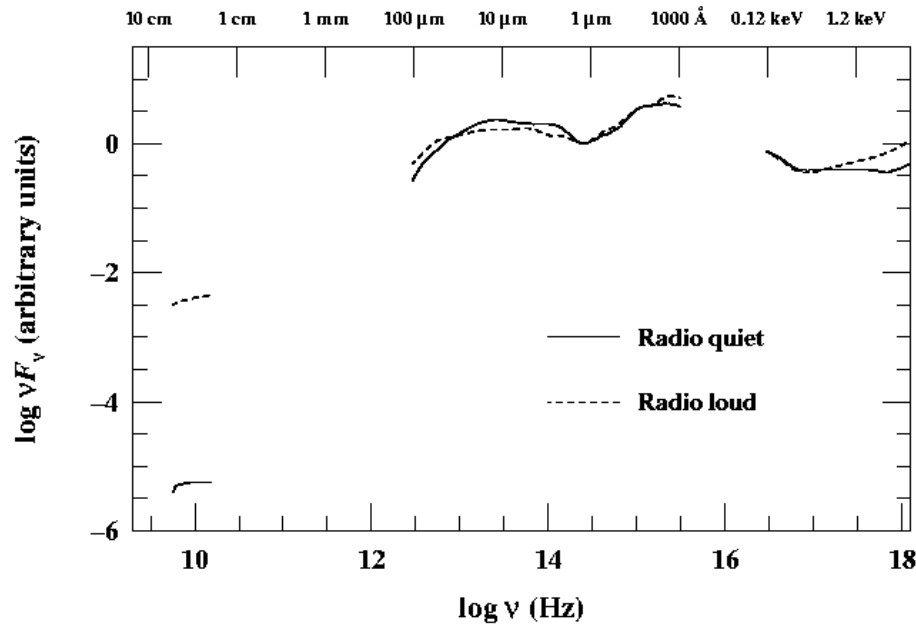
- Angular momentum of infalling material will lead to formation of an accretion disk.

$$L = \frac{GM_{\text{BH}}\dot{M}}{2r} = 2\pi r^2 \sigma T^4$$

$$T(r) = \left(\frac{GM_{\text{BH}}\dot{M}}{4\pi\sigma r^3} \right)^{1/4}$$



$$T(r) \approx 3.7 \times 10^5 \dot{m}^{1/4} \left(\frac{M_{BH}}{10^8 M_{\odot}} \right)^{-1/4} \left(\frac{r}{R_g} \right)^{-3/4} \text{ K}$$

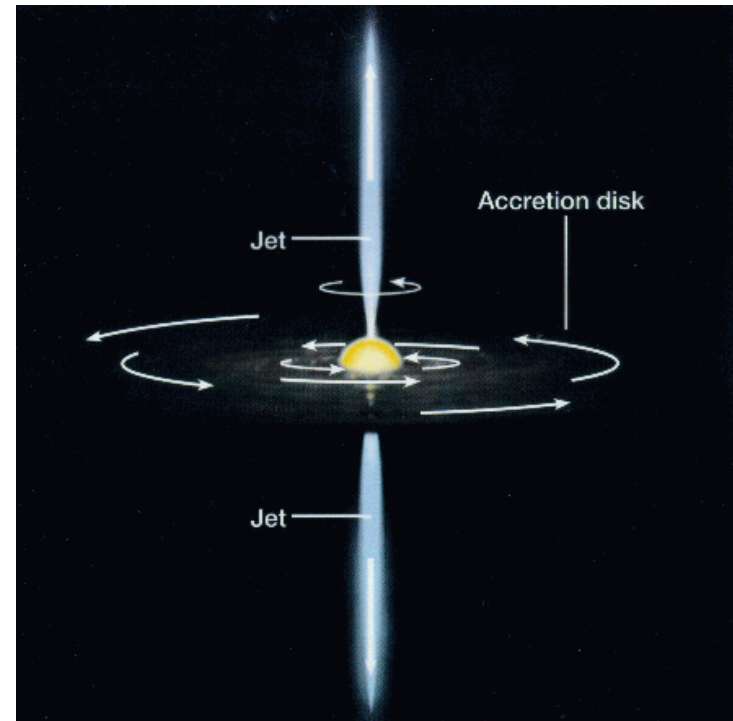


Assuming that QSO SED peak at 1000 Å represents accretion disk, Wien's law tells us $T \approx 5 \times 10^5$ K.

For $M_{BH} = 10^8 M_{\odot}$,
 $R \approx 10 R_g$.

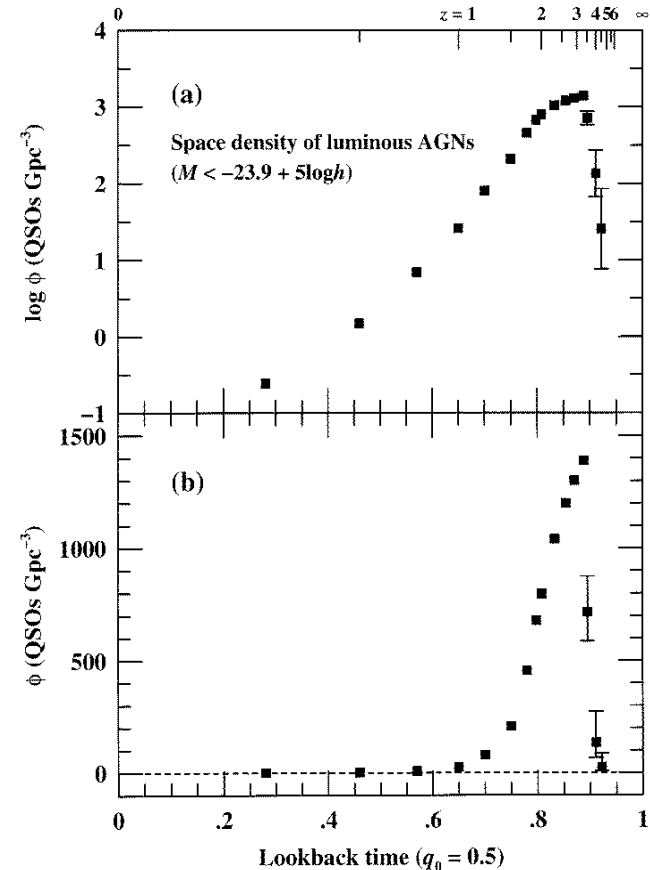
Other Quasar Properties

- Quasars as radio sources
 - High spin, conservation of B field leads to jet formation
 - Jets are common, but apparently not mandatory
- Quasars as X-ray sources
 - *All* highly accreting objects are X-ray sources
 - Hard X-rays (~ 10 keV) are the surest identifier of an active nucleus

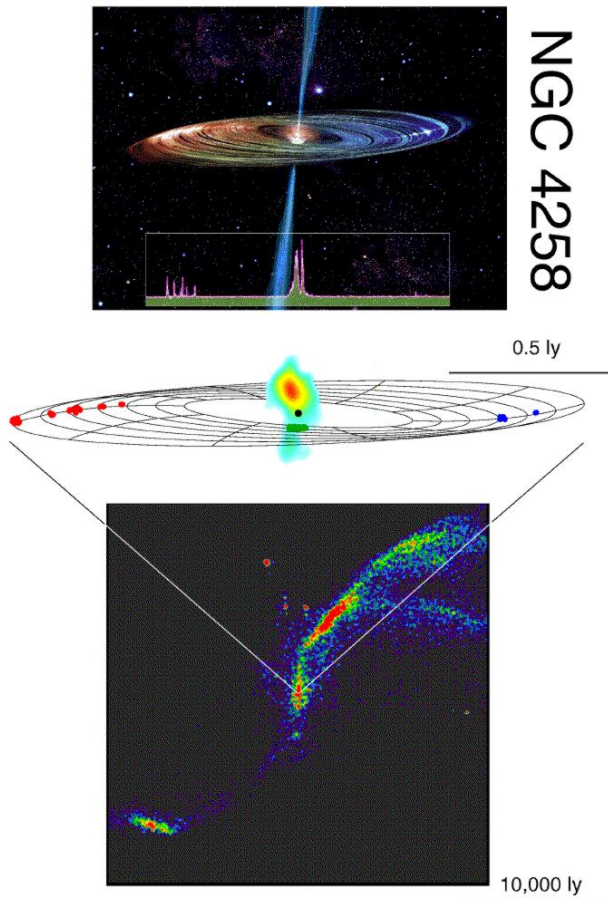


Even Quiescent Galaxies Should Harbor Black Holes

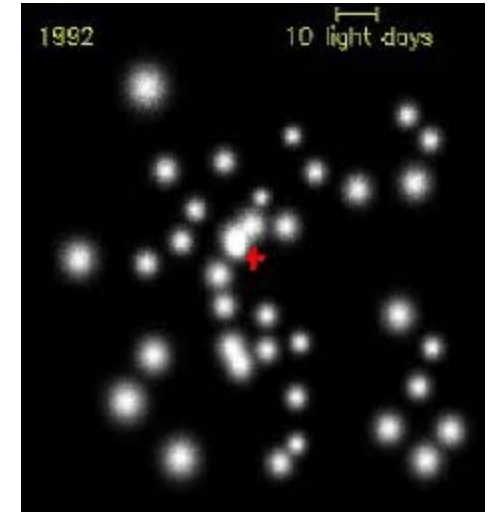
- The comoving space density of quasars was much higher in the past ($z \sim 2 - 3$); where are they now?
- Integrated flux density of quasars reveals the integrated accretion history of black holes.
(Soltan 1982)



Evidence for Supermassive Black Holes



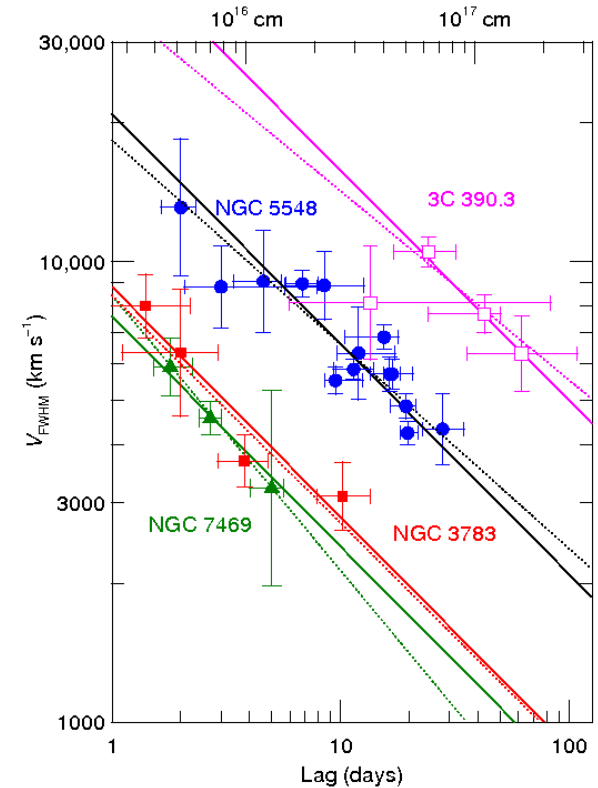
- Milky Way: Stars orbit a black hole of $2.6 \times 10^6 M_{\odot}$.



- NGC 4258: H_2O megamaser radial velocities and proper motions give a mass $4 \times 10^7 M_{\odot}$.

Evidence for Supermassive Black Holes

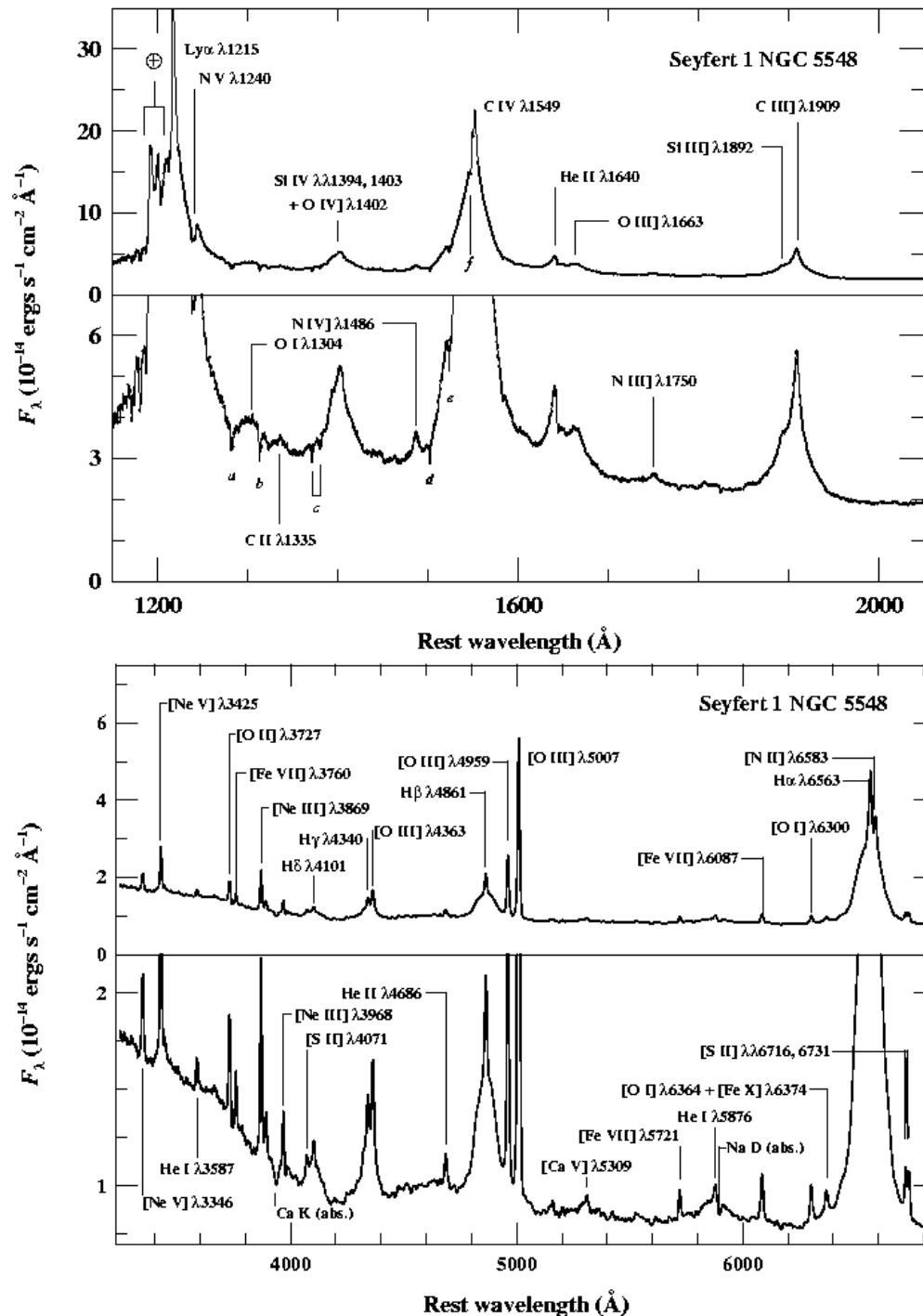
- In the case of AGNs, reverberation mapping of the broad emission lines can be used to measure black hole masses.
 - Later elaboration



$$M_{\text{BH}} \propto \frac{\Delta V^2 R}{G} \Rightarrow \Delta V \propto R^{-1/2}$$

The Broad-Line Region

- UV, optical, and IR permitted lines have broad components
 - $1000 \leq \text{FWHM} \leq 25,000 \text{ km s}^{-1}$
 - Spectra are typical of photoionized gases at $T \approx 10^4 \text{ K}$
 - Absence of forbidden lines implies high density
 - $\text{C III}] \lambda 1909 \Rightarrow n_e < 10^{10} \text{ cm}^{-3}$



Photoionization Equilibrium Modeling

- Tool of long standing in AGNs
Davidson & Netzer 1979
- Simple photoionization models are characterized by:
 - 1) Shape of the ionizing continuum
 - 2) Elemental abundances
 - 3) Particle density
 - 4) An ionization parameter U that is proportional to ratio of ionization rate to recombination rate

The (Dimensionless) Ionization Parameter U

Rate at which H-ionizing photons are emitted by source.

$$Q_{\text{ion}}(H) = \int_{\nu_{\text{ion}}}^{\infty} \frac{L_{\nu}}{h\nu} d\nu$$

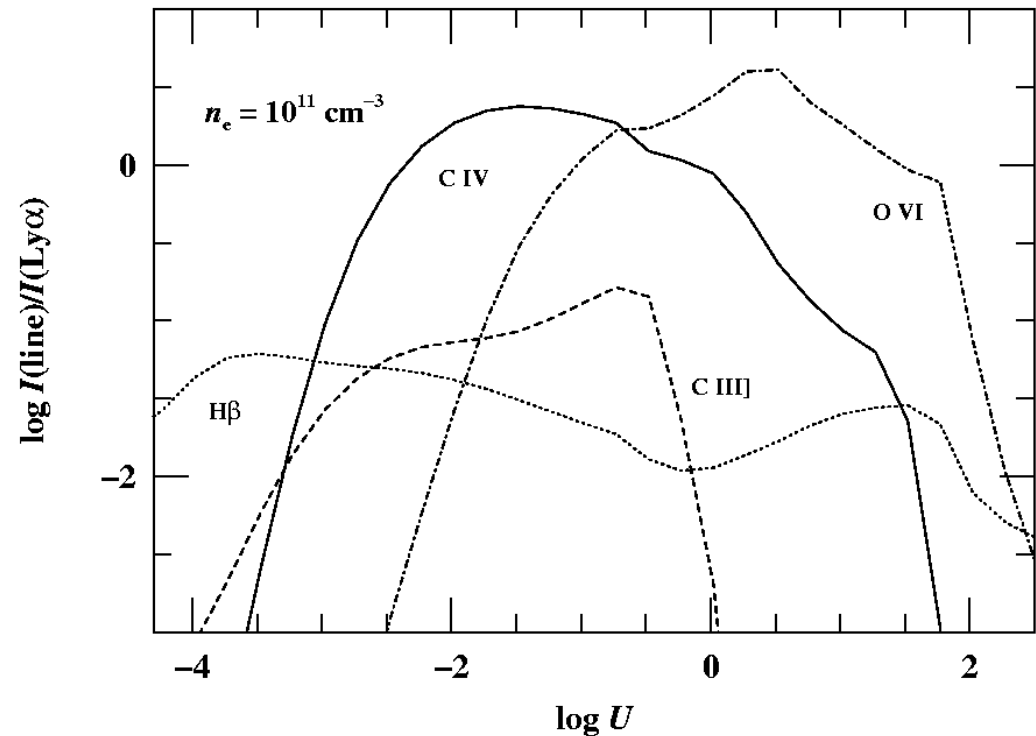
Ratio of ionizing photon density at distance r from source to particle density.

$$U = \frac{Q_{\text{ion}}(H)}{4\pi r^2 c n_{\text{H}}}$$

Davidson 1972

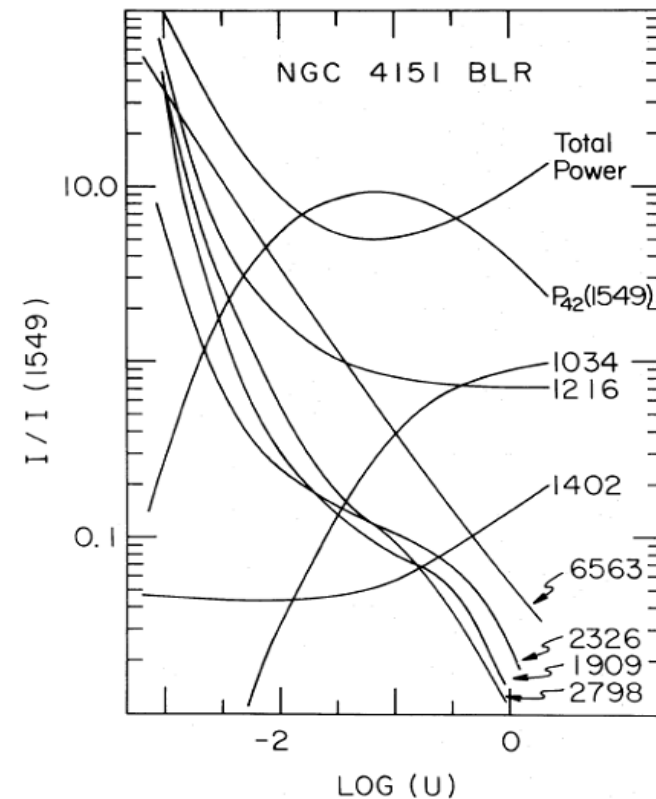
A Simple Model

- Assumptions:
 - AGN-like continuum
 - Solar abundances
 - Fixed density 10^{11} cm^{-3}
 - Maximum column density
- Output product:
 - Predicted flux ratios as a function of U
- Conclusion:
 - Best fit to AGN spectrum is $U \approx 10^{-2}$



Photoionization Model of the BLR in NGC 4151

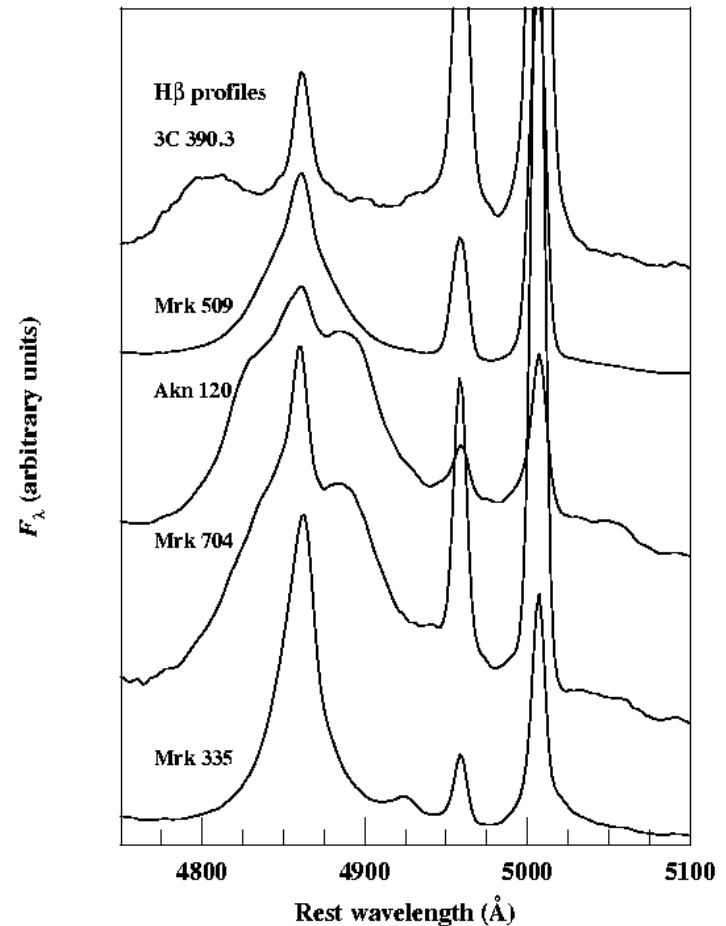
- Limitations:
 - Single-cloud model cannot simultaneously fit low and high-ionization lines.
 - Energy budget problem: line luminosities require more than 100% of the continuum energy



Ferland & Mushotzky 1982

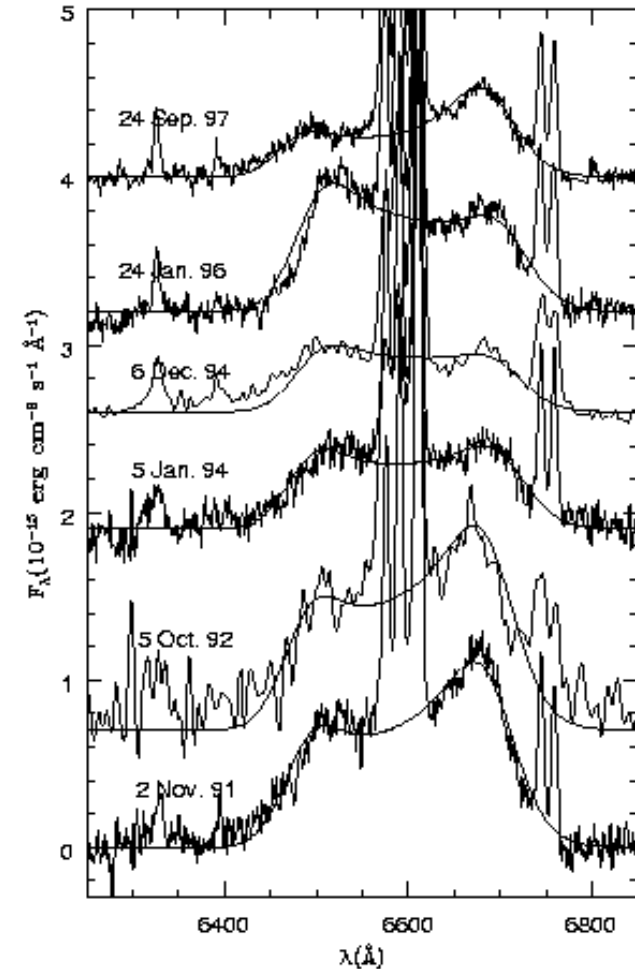
Broad-Line Profiles

- For the most part, broad-line profiles tell us little about kinematics.



Double-Peaked Emission Lines

- A relatively small subset of AGNs have double-peaked profiles that are characteristic of rotation.
 - Tendency to appear in low accretion-rate objects
 - Disks are not simple; non-axisymmetric.
 - Sometimes also seen in difference or rms spectra.

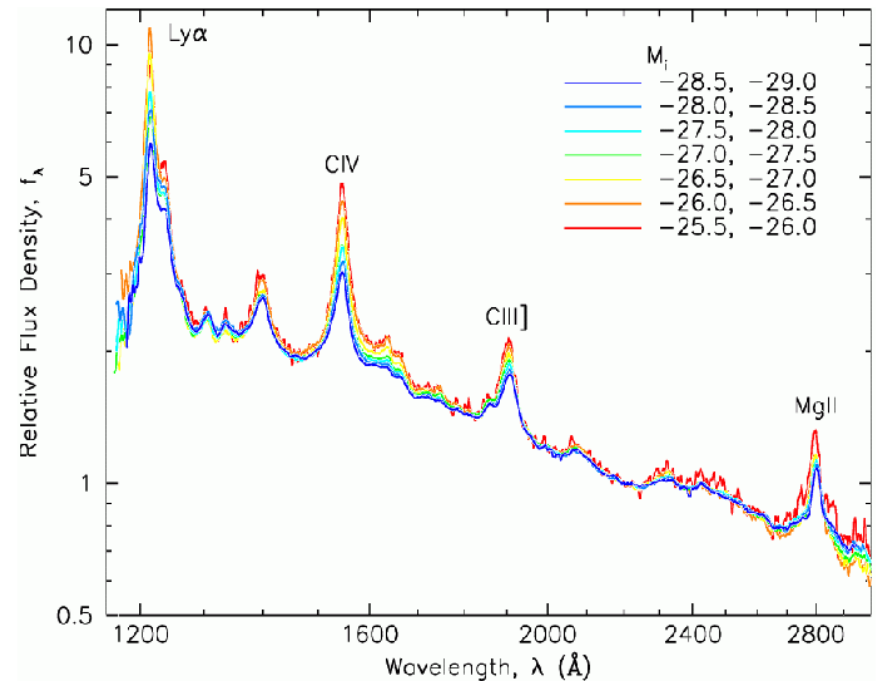


NGC 1097

Storchi-Bergmann et al. 2003

Luminosity Effects

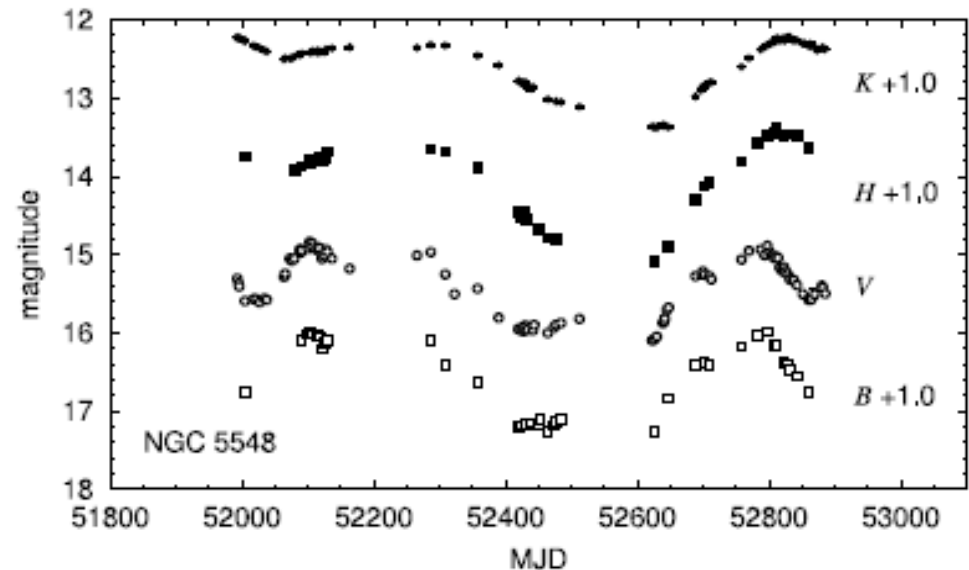
- Average line spectra of AGNs are amazingly similar over a wide range of luminosity.
- Exception: Baldwin Effect
 - Relative to continuum, C IV $\lambda 1549$ is weaker in more luminous objects
 - Origin unknown



SDSS composites, by luminosity
Vanden Berk et al. (2004)

Dust Reverberation

- Near-IR continuum variations follow those of the UV/optical with a time-delay:
 - Time delays are longer than broad-lines
 - Time delays consistent with dust sublimation radius:

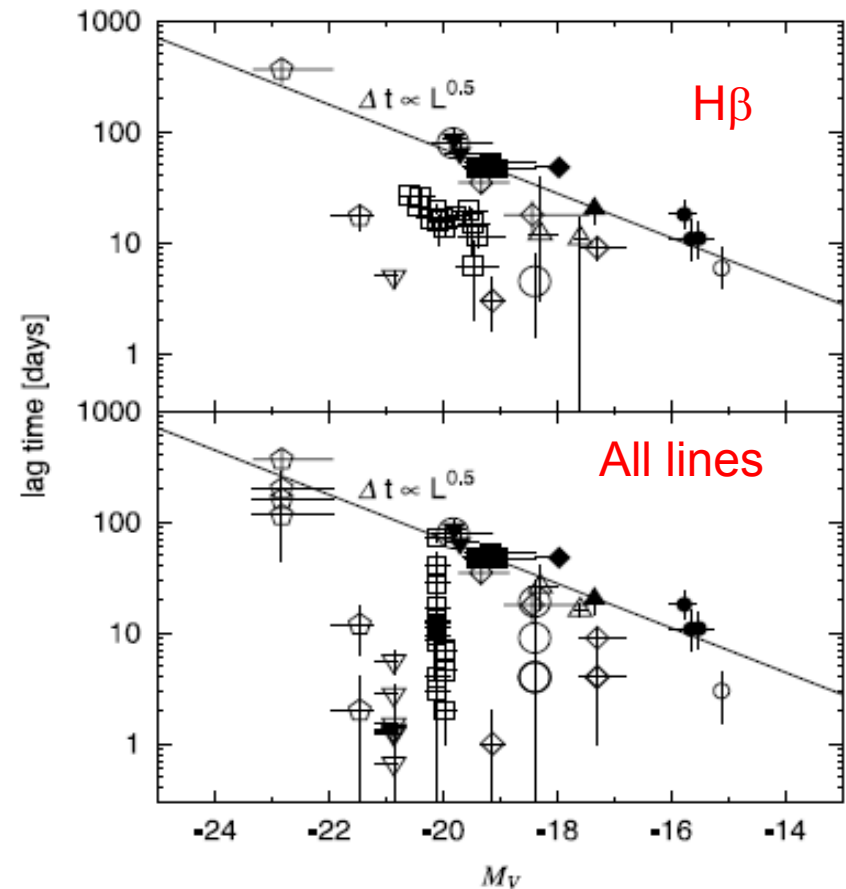


Suganuma et al. 2006

$$r_{\text{sub}} = 1.3 \left(\frac{L_{\text{UV}}}{10^{46} \text{ ergs s}^{-1}} \right)^{1/2} \left(\frac{T_{\text{sub}}}{1500 \text{ K}} \right)^{-2.8} \text{ pc}$$

Dust Reverberation

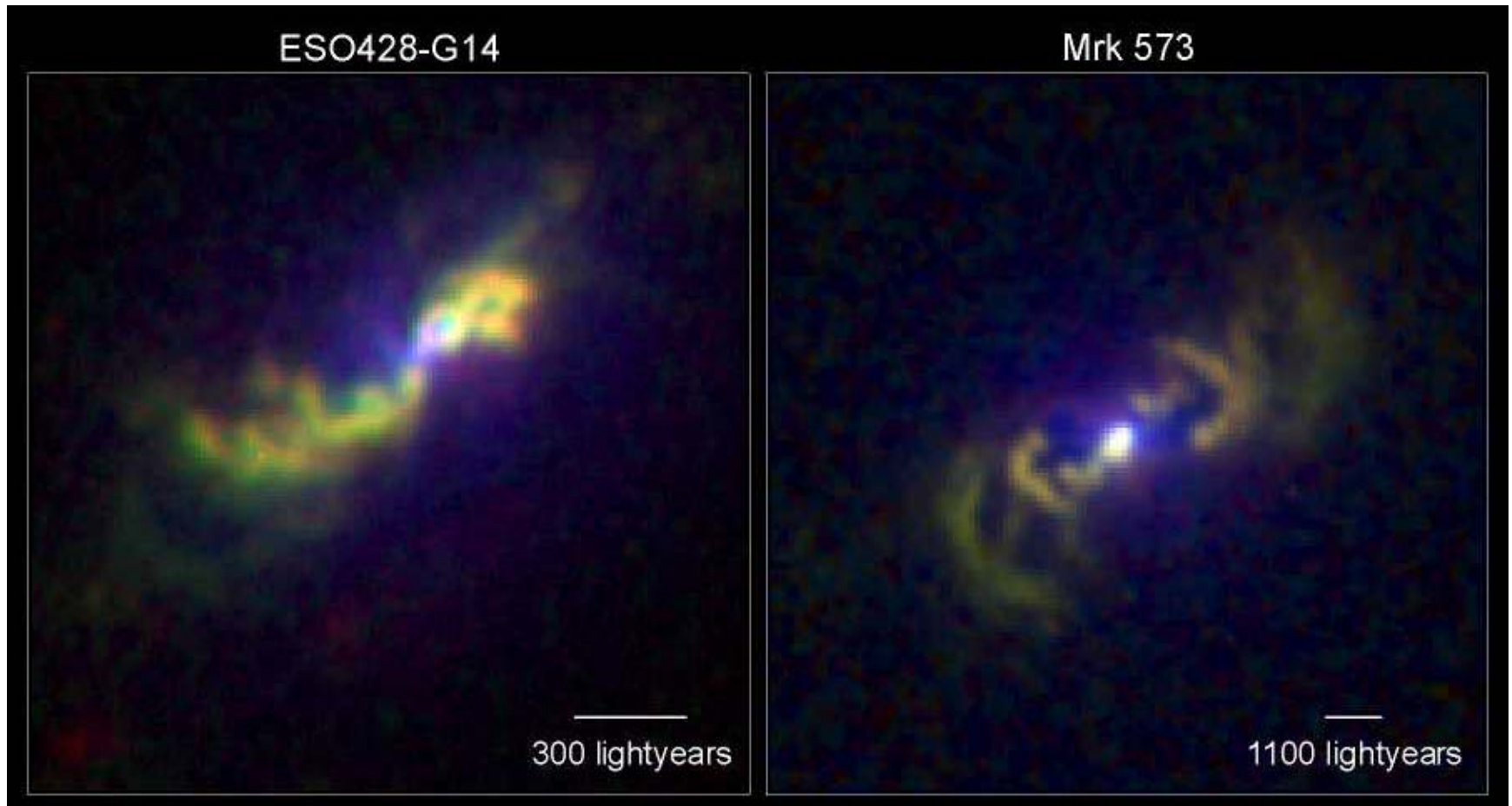
- IR continuum is due to reprocessed UV/optical emission at the closest point to the AGN that dust can survive.
- This probably occurs at the inner edge of the obscuring torus.
- All emission lines are inside r_{sub} : the BLR ends where dust first appears.



Suganuma et al. 2006

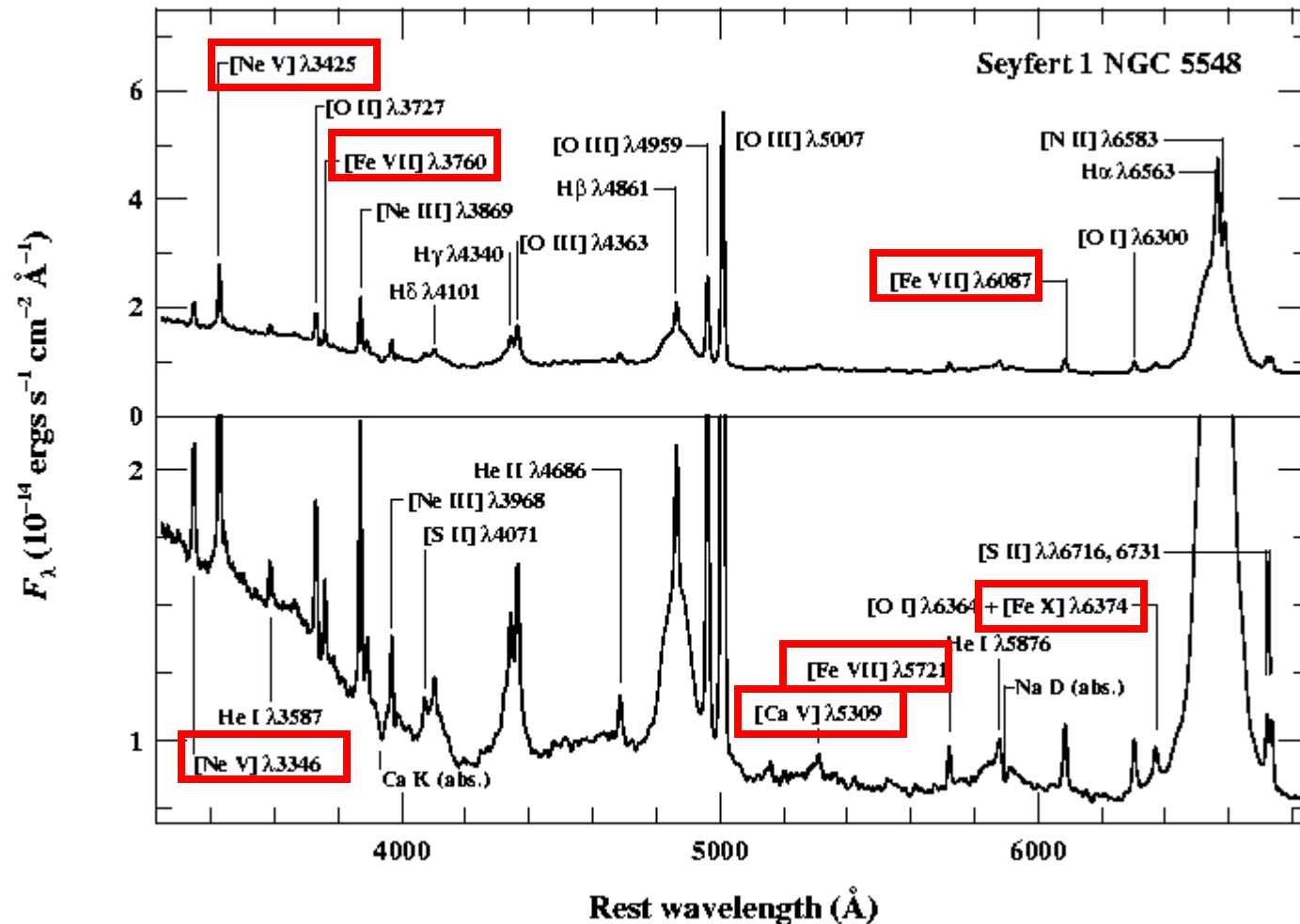
The Narrow-Line Region

- $200 < \text{FWHM} < 1000 \text{ km s}^{-1}$
- Partially resolvable in nearby AGNs
- In form of “ionization cones”



Falcke, Wilson, & Simpson 1998

NLR Spectra characterized by very high ionization lines

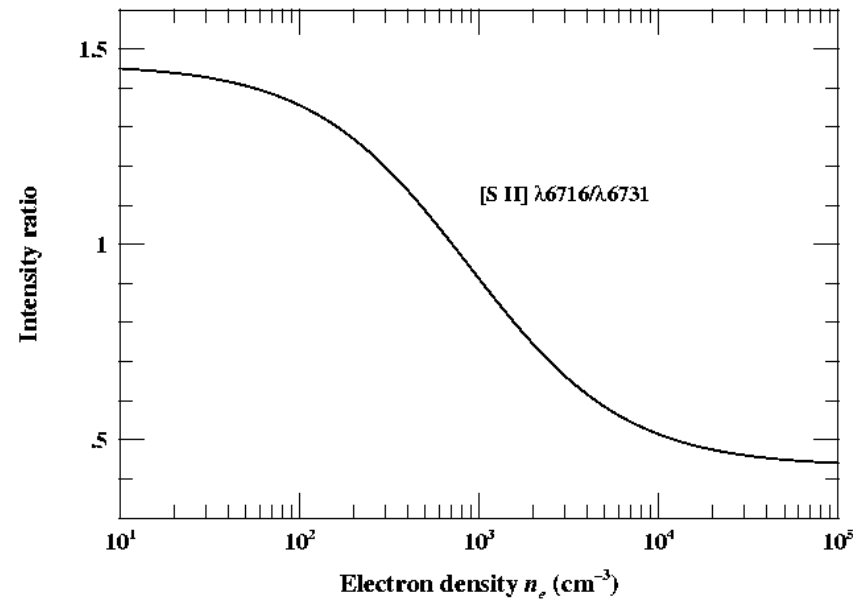
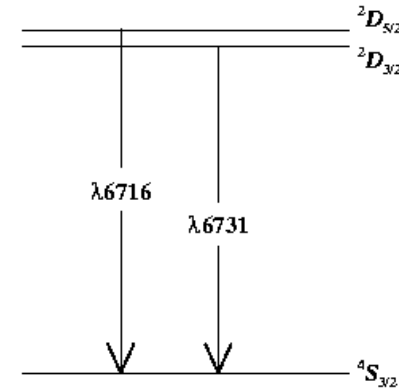


Photoionization Modeling

- Advantages relative to BLR:
 - Kinematics less ambiguous
 - Can use forbidden-line temperature and density diagnostics
 - Forbidden lines are not self-absorbed
- Disadvantage relative to BLR:
 - Dust!

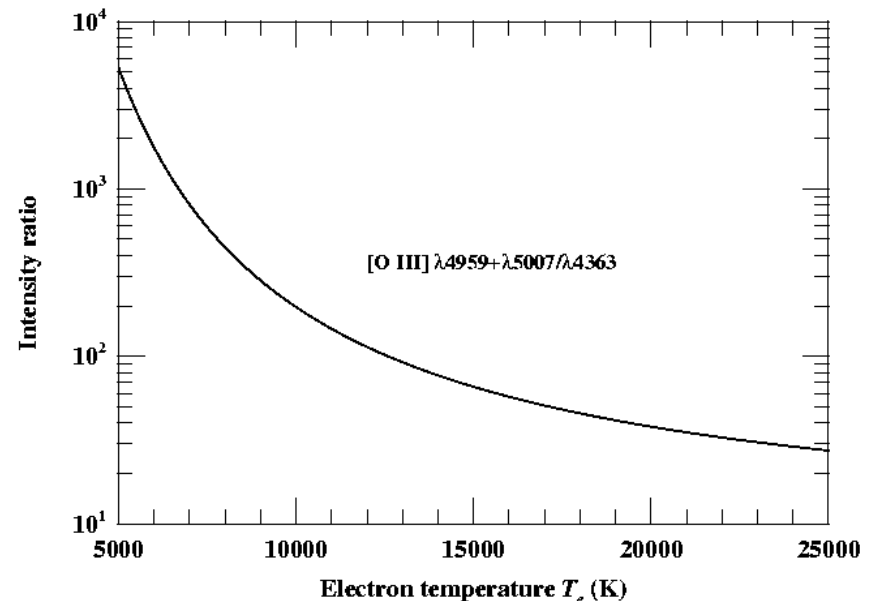
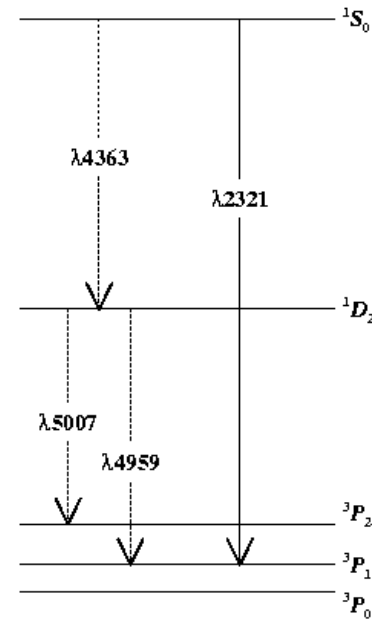
Measuring Density

- Low density: radiative de-excitation, emissivity $\propto n_e^2$
- High density: collisional de-excitation competes, so emissivity $\propto n_e$
- Cross-over point occurs at critical density n_{crit} where radiation de-excitation rate = collisional de-excitation rate
 - $n_{\text{crit}}([\text{S II}] \lambda 6716) = 1.5 \times 10^3 \text{ cm}^{-3}$
 - $n_{\text{crit}}([\text{S II}] \lambda 6731) = 3.9 \times 10^3 \text{ cm}^{-3}$



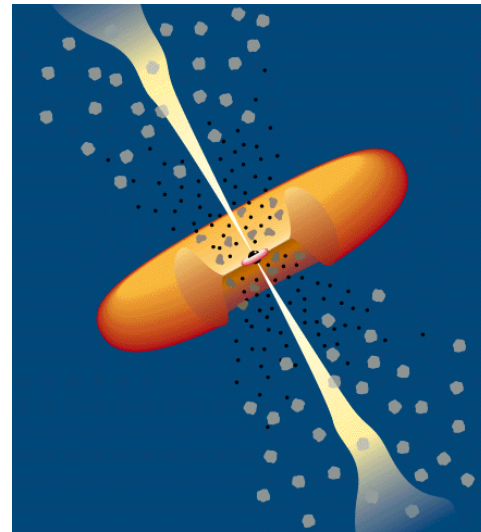
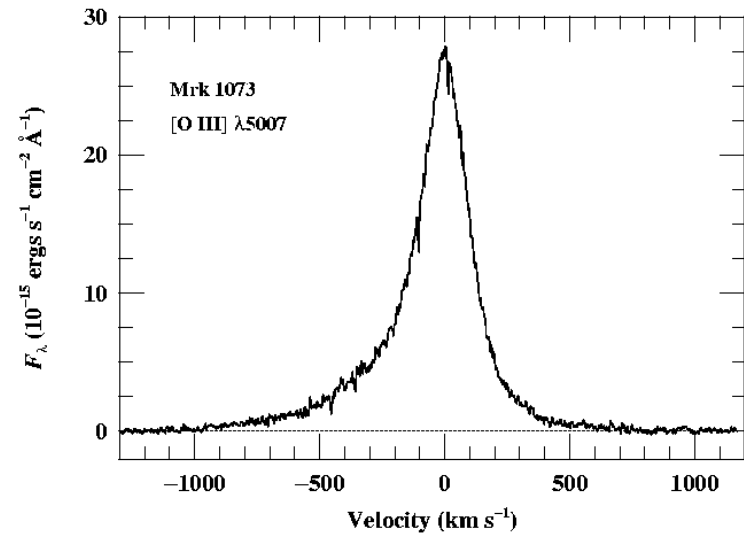
Measuring Temperature

- As temperature increases, [O III] $\lambda\lambda 4363$ increases in strength relative to [O III] $\lambda\lambda 4959, 5007$ because of increasing collisional excitation of 1S_0 level.



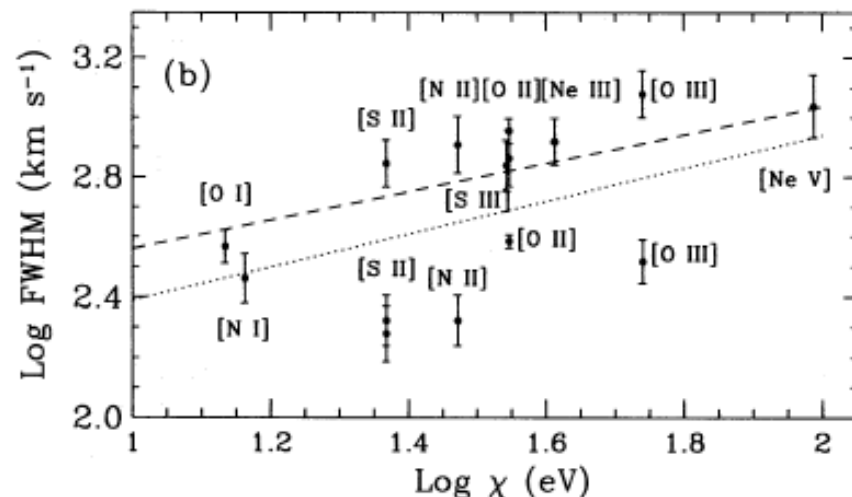
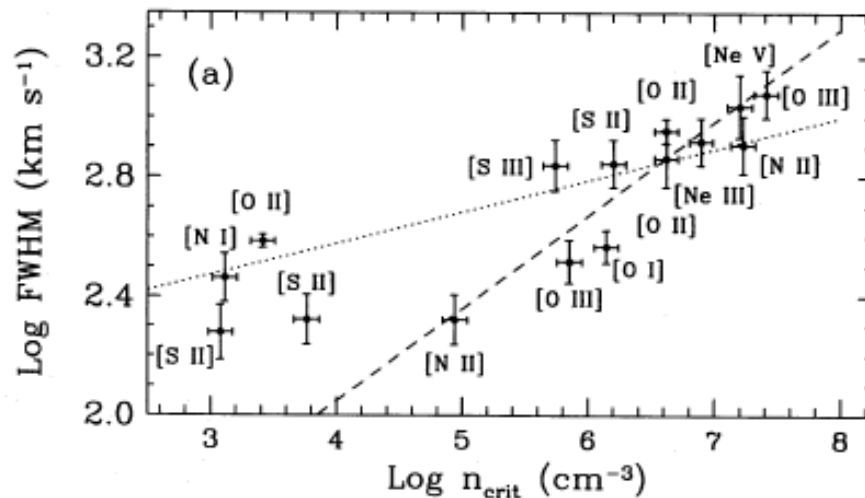
Narrow-Line Profiles

- Typically blueward asymmetric, indicating outflow and obscuration of far (redward) side.



Narrow Line Widths

- Correlate with:
 - Critical density
 - Gas near n_{crit} emits most efficiently
 - Excitation potential
- Interpretation:
 - Consistent with higher densities and higher excitation closer to accretion disk, in deeper gravitational potential



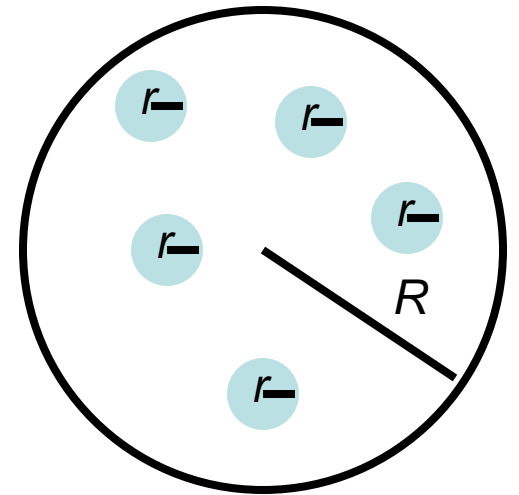
Size of the Narrow-Line Region

$$j(\text{H}\beta) = n_e^2 \alpha_{\text{eff}}(\text{H}\beta) \frac{h\nu}{4\pi} \text{ ergs s}^{-1} \text{ cm}^{-3} \text{ ster}^{-1}$$

For N_c clouds, total emitting volume is $N_c \times 4\pi r^3/3$

Define filling factor ε such that $\varepsilon 4\pi R^3/3 = N_c 4\pi r^3/3$

$$L(\text{H}\beta) = \iint j(\text{H}\beta) d\Omega dV = \frac{4\pi\varepsilon n_e^2}{3} 1.24 \times 10^{-25} R^3 \text{ ergs s}^{-1}$$



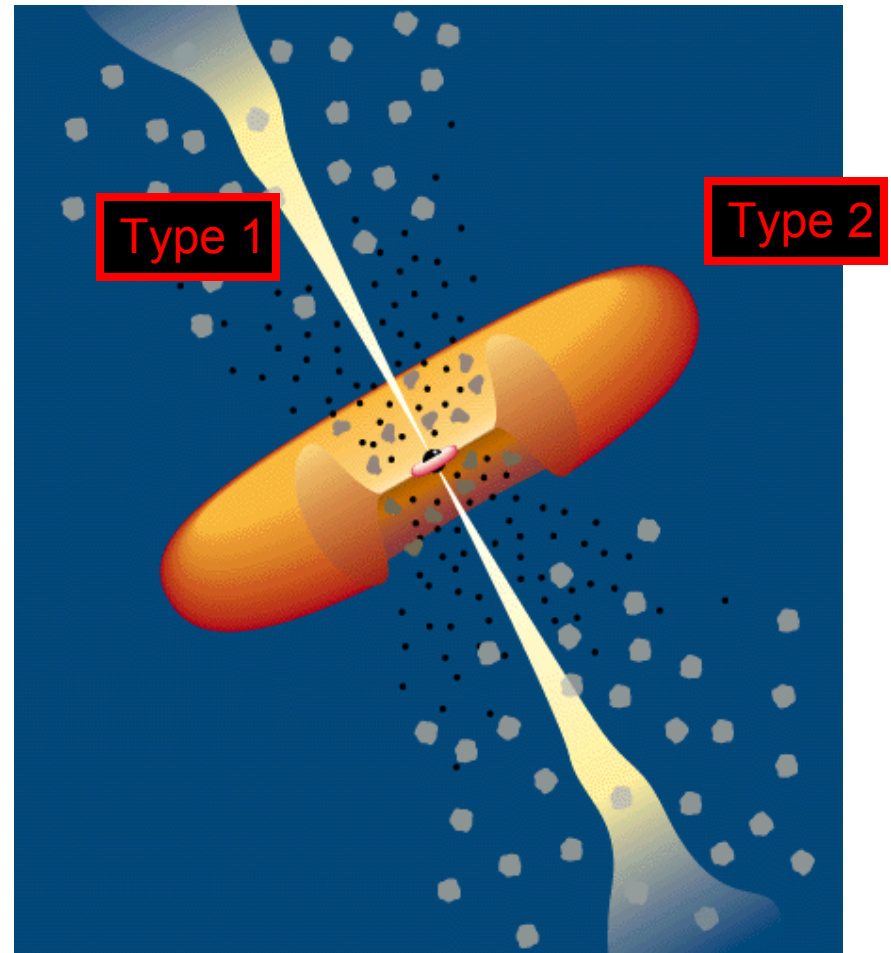
For $L(\text{H}\beta) = 10^{41} \text{ ergs s}^{-1}$, $n_e = 10^3 \text{ cm}^{-3}$, we get $R = 20 \varepsilon^{1/3} \text{ pc}$. Typically, $R \approx 100 \text{ pc}$, so $\varepsilon \approx 0.01$.

Mass of the Narrow-Line Region

$$M_{\text{NLR}} = \frac{4\pi}{3} \varepsilon R^3 n_e m_p \approx 10^6 M_{\odot}$$

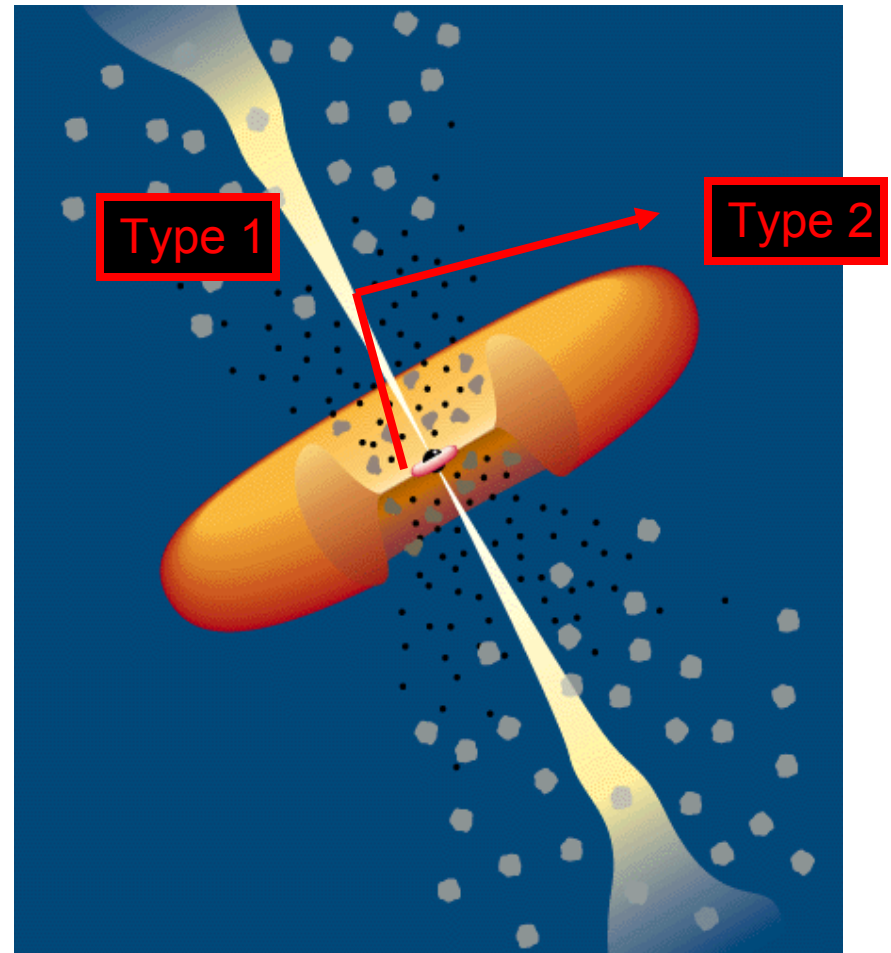
The “Obscuring Torus”

- The answer to the question: “why don’t Seyfert 2s have broad lines?”
- Osterbrock (1978) suggested this since a simple absorbing medium would:
 - Redden the continuum
 - Completely obscure the continuum as well as the BLR



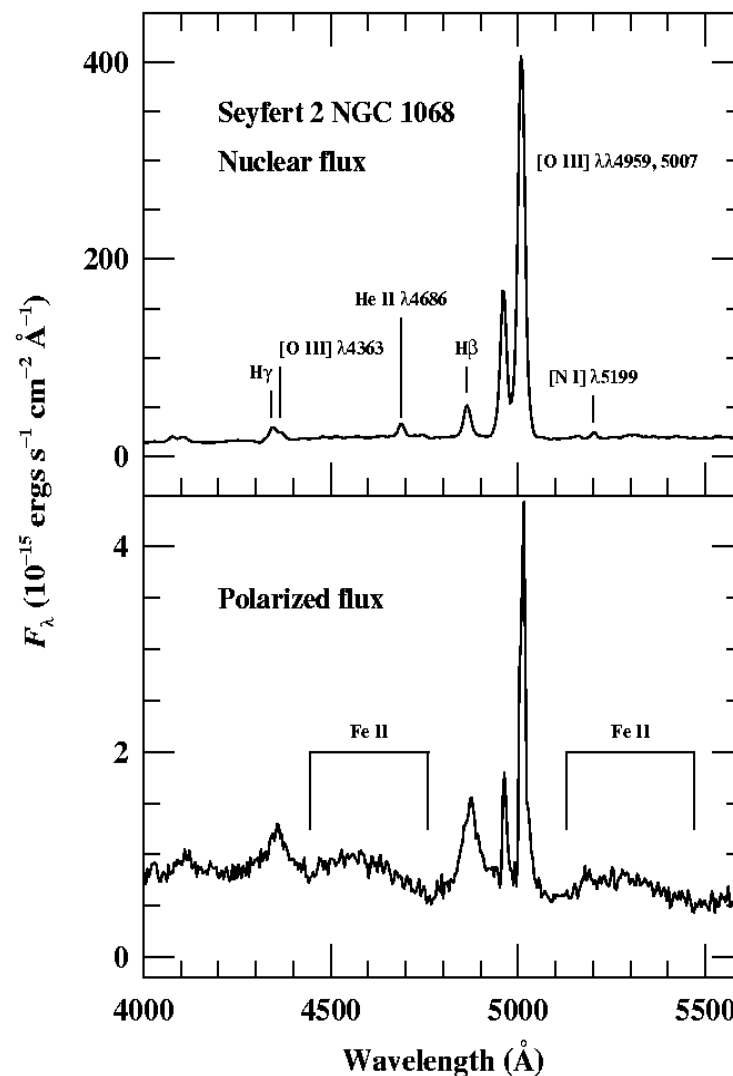
The “Obscuring Torus”

- The key to making this work is scattering by material in the throat of the torus.
 - Prediction: scattering introduces polarization, with E vector perpendicular to axis



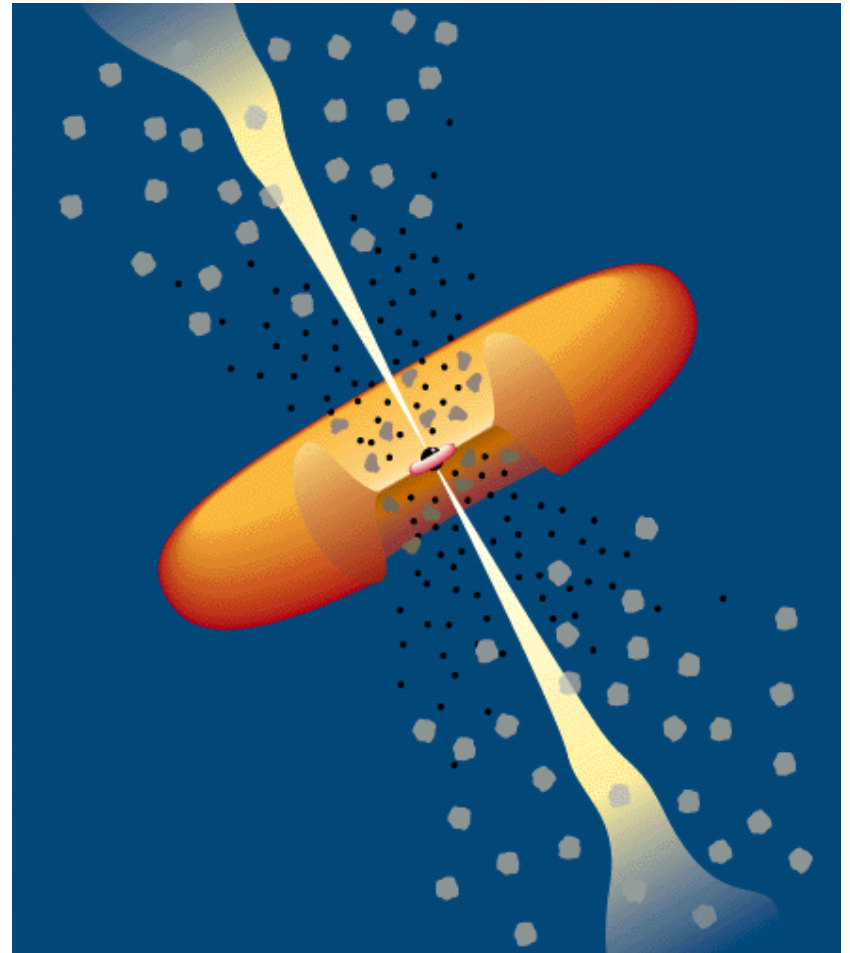
Spectropolarimetry of Seyfert 2 Galaxies

- Spectropolarimetry of the nuclei of Type 2 Seyferts shows Type 1 spectra in polarized light, as predicted.

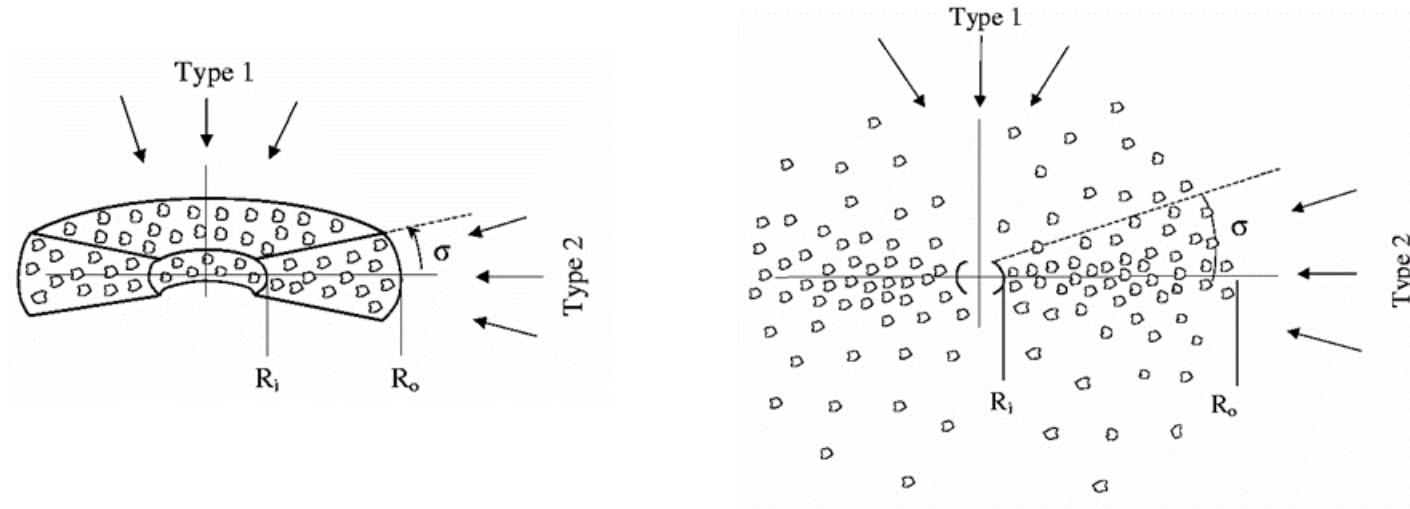


Unification Issues and the NLR

- Problems with the torus:
 - Theoretical size much larger than IR cores of nearby AGN
 - Models are unstable



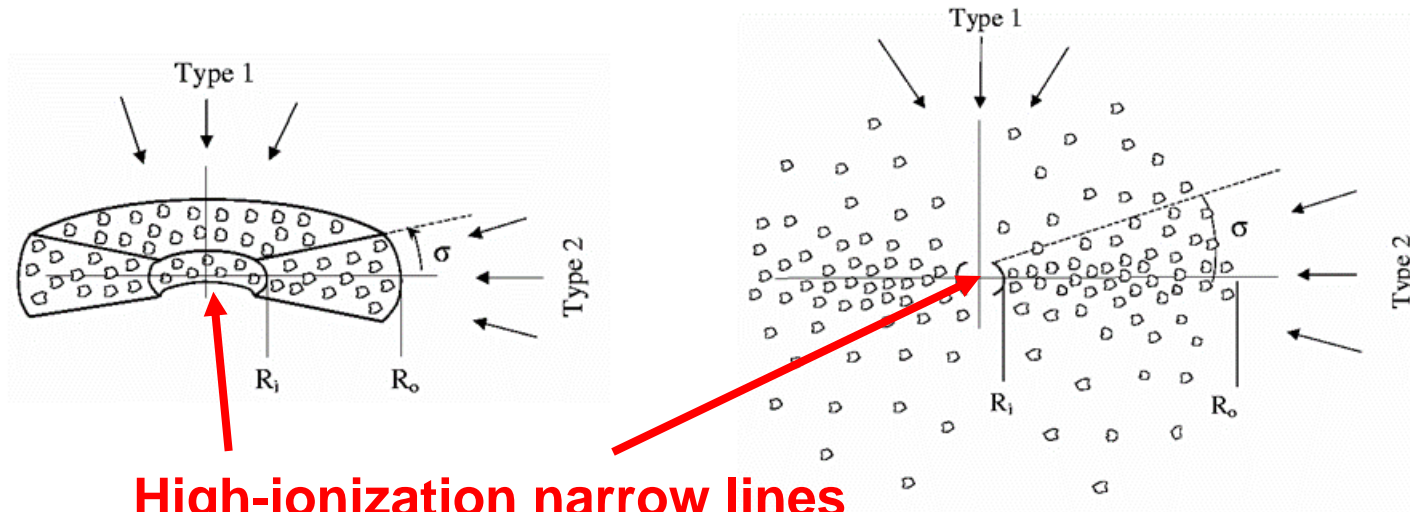
Unification Issues and the NLR



Elitzur 2006

- Solution: replace “doughnut” with system of small, dusty clouds
 - Increase emitting area
 - Better reproduces spectrum
 - Increases emitting area, smaller system
 - Can explain changes of AGN type

Unification Issues and the NLR



High-ionization narrow lines

Elitzur 2006

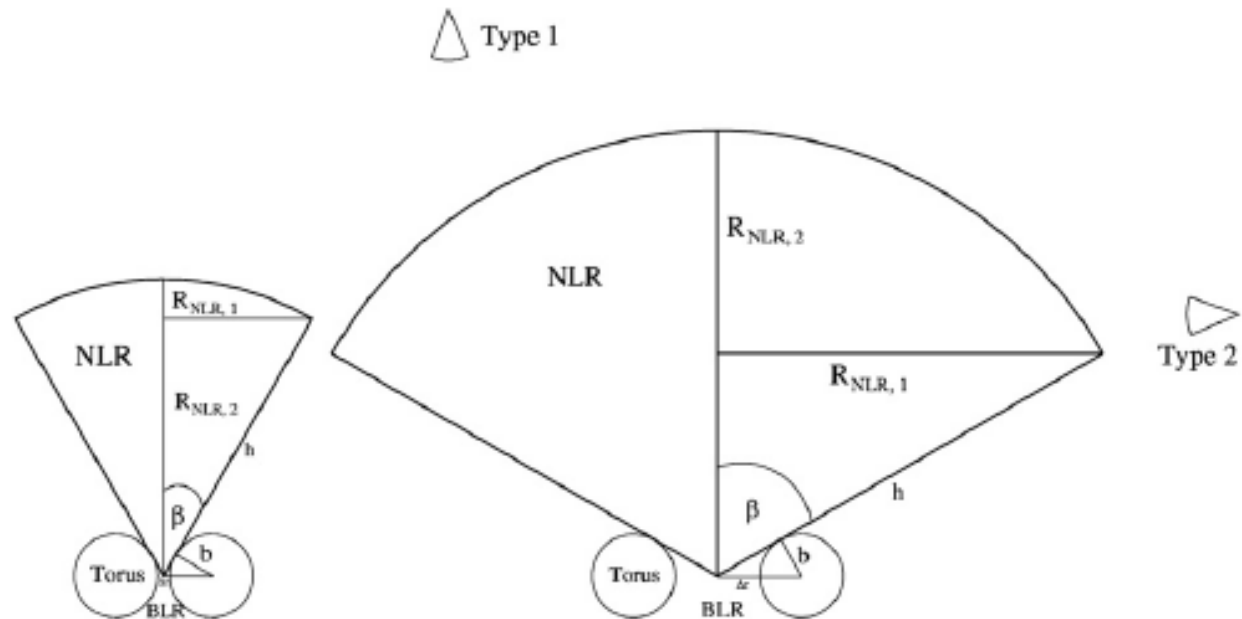
- A naïve expectation is that the narrow-line spectra of Sy 1 and Sy 2 are the same.
- Type 1 objects have stronger high-ionization lines.
- These are probably formed in the “throat” of the torus.

Unification Issues and the NLR

- At low luminosity, Type 2 AGNs outnumber Type 1 AGNs by 3:1
- High luminosity Type 2s (“Type 2 quasars”) are exceedingly rare.
- Can be explained by a “receding torus”.
 - Model below can explain apparent difference in how the projected size of the NLR scales differently in Type 1 and Type 2 objects.

Type 1: $r \propto L^{0.44}$

Type 2: $r \propto L^{0.29}$



Summary of Key Points

- Apparently all massive galaxies have supermassive black holes at their centers.
- Black holes accreting mass are “active galactic nuclei”.
- A broad range of AGN phenomena are attributable to differences in inclination, luminosity, and Eddington accretion rate.