



Ab initio study of water anchored in graphene pristine and vacancy-type defects

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Abstract

Context In this paper, we have addressed two issues that are relevant to the interaction of water in pristine and vacant graphene through first-principles calculations based on the Density Functional Theory (DFT). The results showed that for the interaction of pristine graphene with water, the DOWN configuration (with the hydrogen atoms facing downwards) was the most stable, presenting binding energies in the order of -13.62 kJ/mol at a distance of 2.375 Å in the TOP position. We also evaluated the interaction of water with two vacancy models, removing one carbon atom (Vac-1C) and four atoms (Vac-4C). In the Vac-1C system, the most favourable system was the DOWN configuration, with binding energies ranging from -20.60 kJ/mol to -18.41 kJ/mol in the TOP and UP positions, respectively. A different behaviour was observed for the interaction of water with Vac-4C; regardless of the configuration of the water, it is always more favourable for the interaction to occur through the vacancy centre, with binding energies between -13.28 kJ/mol and -20.49 kJ/mol. Thus, the results presented open perspectives for the technological development of nanomembranes as well as providing a better understanding of the wettability effects of graphene sheets, whether pristine or with defects.

Method We evaluated the interaction of pristine and vacant graphene with the water molecule, through calculations based on Density Functional Theory (DFT); implemented by the SIESTA program. The electronic, energetic, and structural properties were analyzed by solving self-consistent Kohn-Sham equations. In all calculations, a double ζ plus a polarized function (DZP) was used for the numerical base set. Local Density Approximation (LDA) with the Perdew and Zunger (PZ) parameterisation along with a basis set superposition error (BSSE) correction were used to describe the exchange and correlation potential (V_{xc}). The water and isolated graphene structures were relaxed until the residual forces were less than $0.05 \text{ eV}/\text{Å}^{-1}$ in all atomic coordinates.

Keywords Density functional theory · Carbon nanomaterials · Simulation approach · Water

Introduction

Water is a fascinating liquid. A material that occupies two-thirds of the surface of our planet and 70% of our body is certainly very important. Despite the abundance of water, the amount of freshwater on the planet corresponds to only 2.5% of the total volume of water. Today one in six people suffers from water scarcity and by the year 2025 one in two people will suffer from water stress [1]. One potential strategy to solve this problem is to understand the behaviour of water and, through its properties, look for economical ways to obtain more potable water [2–8].

Water exhibits more than 70 anomalies – thermodynamic, dynamic, and structural properties which allow it to differ from other materials [9]. These anomalous behaviours are responsible for the maintenance of life on the planet. In addition to

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its bulk anomalous properties, it was recently observed that water under confinement presented a fast flow, violating known hydrodynamic equations [9–13]. According to molecular dynamic simulations for classical atomistic models, this behaviour was attributed to the single line behaviour of water molecules under confinement in carbon nanotubes [14] and in graphene-based or other single layer materials nanopores [15].

Graphene is a carbon nanomaterial that has attracted great interest from the scientific community in recent years due to its electronic and structural characteristics [16, 17]. As it is an atom-thick material, it offers a wide range of applications such as in the electronics industry and in medicine [18–21]. Graphene is a two-dimensional (2D) material, that is, electrons are free to move in two directions and confined in one; its resultant large surface area makes graphene useful in a variety of applications [22–28]. For example, the adsorption capacity of graphene is mainly related to its surface area, and makes it suitable for the storage and capture of molecules for both biosensor and drug delivery applications [29, 30].

Membrane technology plays a crucial role in the chemical industry for molecular separations such as gas and liquid purification and greenhouse gas capture [24–26, 31–33]. In this sense, graphene and its large family of 2D materials are excellent platforms for designing ultra-thin nanoporous membranes for ultra-fast molecular separation, due to their atomic thickness, high surface area, mechanical durability, and industrial scalability [34–43]. It is currently being explored because of its direct impact on graphene-based devices such as water filtration membranes [44]; it can also be used as a model system for understanding the interaction between water and carbonaceous surfaces. However, relatively little is known about the behaviour of water diffusion in graphene and layered materials in general. In particular, it remains unknown how the large out-of-plane displacements exhibited by single layers of these materials might affect the movement of the adsorbed [44–46].

Although molecular dynamic simulations may be used to reveal the flow of water through nanopores in single layer materials, since the models employed to describe the layer and the water are classical, the specific way in which the water molecules approach the nanopore is unclear. A better knowledge of this process would be important in order to develop more accurate atomistic models.

In this paper, we have addressed two issues that are relevant to the interaction of water in pristine and vacant graphene through first-principles calculations based on the Density Functional Theory (DFT). We compared water-graphene energies with varying orientations and distances. The idea was to identify the lowest energy water-graphene and water-vacancy configurations. The other issue was the H- π interactions in defining the optimal water-graphene and water-vacancy configurations. This allowed us to understand the effect of these defects on the adsorption of water in pristine and vacant graphene.

The remainder of the paper is structured as follows. The methodology employed is presented in the next section. Then, the results are discussed and the conclusions are provided at the end of the manuscript.

Methodology

We studied the influence of the water molecule interaction with pristine graphene and with two vacancy versus distance models through density functional theory (DFT) [47, 48]; implemented in the SIESTA code (Spanish Initiative for Electronic Simulations of Thousands of Atoms) [49]. The electronic, energetic, and structural properties were analysed by solving self-consistent Kohn-Sham equations. In all calculations, a double ζ plus a polarised function (DZP) was used for the numerical base set. To describe the exchange and correlation potential (Vxc), we investigated through Local Density Approximation (LDA) with the Perdew and Zunger (PZ) parameterization [50] along with base set overlap error correction (BSSE corrected) [51]. This choice was justified in the first place by similar works in the literature [52–56] where LDA showed a better agreement for binding energies and binding distance values relative to experimental results. Secondly, LDA shows good agreement for weakly interacting systems, especially with the presence of π -stacking interactions in sp^2 -type materials [57–61].

A grid cut of 200 Rydberg (Ry) was used to represent the electronic charge in real space. All systems studied had a neutral charge in their initial electronic configuration. The water and isolated graphene structures were relaxed until the residual forces were less than $0.05 \text{ eV}/\text{\AA}^{-1}$ in all atomic coordinates. In addition, the pristine graphene studied showed 144 carbon atoms. Two vacancy models were also considered: vacancy-1C (Vac-1C), where one carbon atom was removed, and vacancy-4C (Vac-4C) where four carbon atoms were removed. Periodic boundary conditions were used in all simulations; the cells had dimensions of $25.94 \times 40.00 \times 14.98 \text{\AA}^3$, also called the supercell method.

For the interaction energy calculations, the following equation was used with the BSSE [51] corrected for all calculations using the balance method:

$$E_b = E(A + B) - E(A_{\text{ghost}} + B) - E(A + B_{\text{ghost}}) \quad (1)$$

This correction was performed for the initial geometry of system AB and calculating the total energy of system A, considering the entire set of basis functions, where the set of basis functions B was in the position corresponding to system B, without the explicit presence of atoms. The same occurred in the calculation of system B. The system with negative binding energies implied attractive interactions.

Results

We initially evaluated pristine graphene (Gr), and analysed two situations of vacancy: removing one atom (Vac-1C) and four carbon atoms (Vac-4C) as illustrated in Fig. 1. The largest diameters were 2.60 and 4.60 Å for Vac-1C and Vac-4C, respectively. Vac-1C is experimentally stable [62–64], and has a spin polarization of 1.18 μB , according to theoretical studies [65, 66]. The Vac-4C evaluated in this work does not show polarization, according to previous studies [67–69] this type of vacancy defect in graphene is especially interesting because some models may not show spin polarization. The total electronic charge distributions were analysed, revealing that the charges were uniformly distributed over the carbon atoms in both pristine graphene and Vac-4C. In the case of Vac-1C, the charge plot was concentrated on the region where the carbon atom was removed.

Then, three main configurations were selected for water to interact with Gr/Vac-1C/Vac-4C: face to face ($\text{X-H}_2\text{O-FF}$) (Fig. 1(d)), T-shaped with oxygen facing down ($\text{X-H}_2\text{O-UP}$) (Fig. 1(e)), and T-shaped with the hydrogens facing down ($\text{X-H}_2\text{O-DOWN}$) (Fig. 1(f)). The interactions were evaluated on the carbon atom of the graphene lattice (TOP) and on the centre of the hexagon (vacancy) (HC). The interaction energy curves of these configurations were subsequently calculated as a function of the distance r between the graphene-molecule and the vacancy-molecule via DFT formalism with a basis

set superposition error (BSSE) correction as indicated in Eq. 1.

Interactions of water with pristine graphene

Figure 2 shows the interaction of water with pristine graphene for three configurations Gr- $\text{H}_2\text{O-FF}$, Gr- $\text{H}_2\text{O-UP}$, and Gr- $\text{H}_2\text{O-DOWN}$, positioned in the centre of the hexagon (HC) and on the carbon atom of the lattice of graphene (TOP).

For Gr- $\text{H}_2\text{O-TOP-FF}$ and Gr- $\text{H}_2\text{O-HC-FF}$, the water molecule interacted parallel to the graphene sheet. In these configurations, we observed that the most stable distance between the graphene sheet and the water was 3 Å. In addition, the energies of the two positions Gr- $\text{H}_2\text{O-TOP-FF}$ and Gr- $\text{H}_2\text{O-HC-FF}$, were -8.88 kJ/mol and -10.35 kJ/mol, respectively. That is, there was an energy increase of 24% in the change from the TOP to the HC position.

In the case of the interaction of water in the UP position with the oxygen facing downwards, we observed that the water in the Gr- $\text{H}_2\text{O-TOP-UP}$ configuration was slightly more energetic than in the Gr- $\text{H}_2\text{O-HC-UP}$ configuration, with energies of -9.41 kJ/mol and -7.89 kJ/mol, respectively. These configurations presented a subtle change at the shortest distance; while in Gr- $\text{H}_2\text{O-TOP-UP}$ the most stable distance was 3.00 Å, for the Gr- $\text{H}_2\text{O-TOP-UP}$ configuration it was 2.75 Å.

Hamada [70] previously evaluated the water in the TOP position in the UP configuration, using different functionals implemented in SIESTA and obtaining energies

Fig. 1 **a** Pristine graphene, **b** vacancy with one missing carbon atom, **c** vacancy with four missing carbon atoms, with respective representations of the total charge (isosurface used in all molecules of $0.1 e/\text{Bohr}^3$, where e is the electronic charge and Bohr^3 ($1 \text{ Bohr} = 0.529 \text{ \AA} = 5.29 \times 10^{-11} \text{ m}$) is the volume). Scheme of the studied configurations for the water interaction: **d** face to face ($\text{X-H}_2\text{O-FF}$), **e** T-shaped with oxygen facing downwards ($\text{X-H}_2\text{O-UP}$), and **f** T-shaped with the hydrogens facing down ($\text{X-H}_2\text{O-DOWN}$)

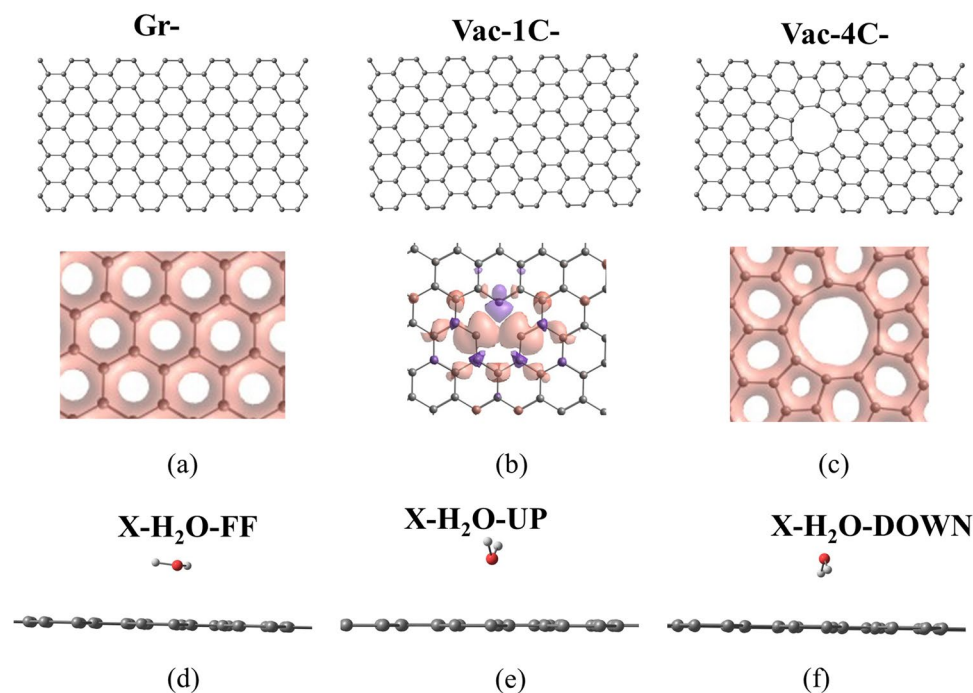
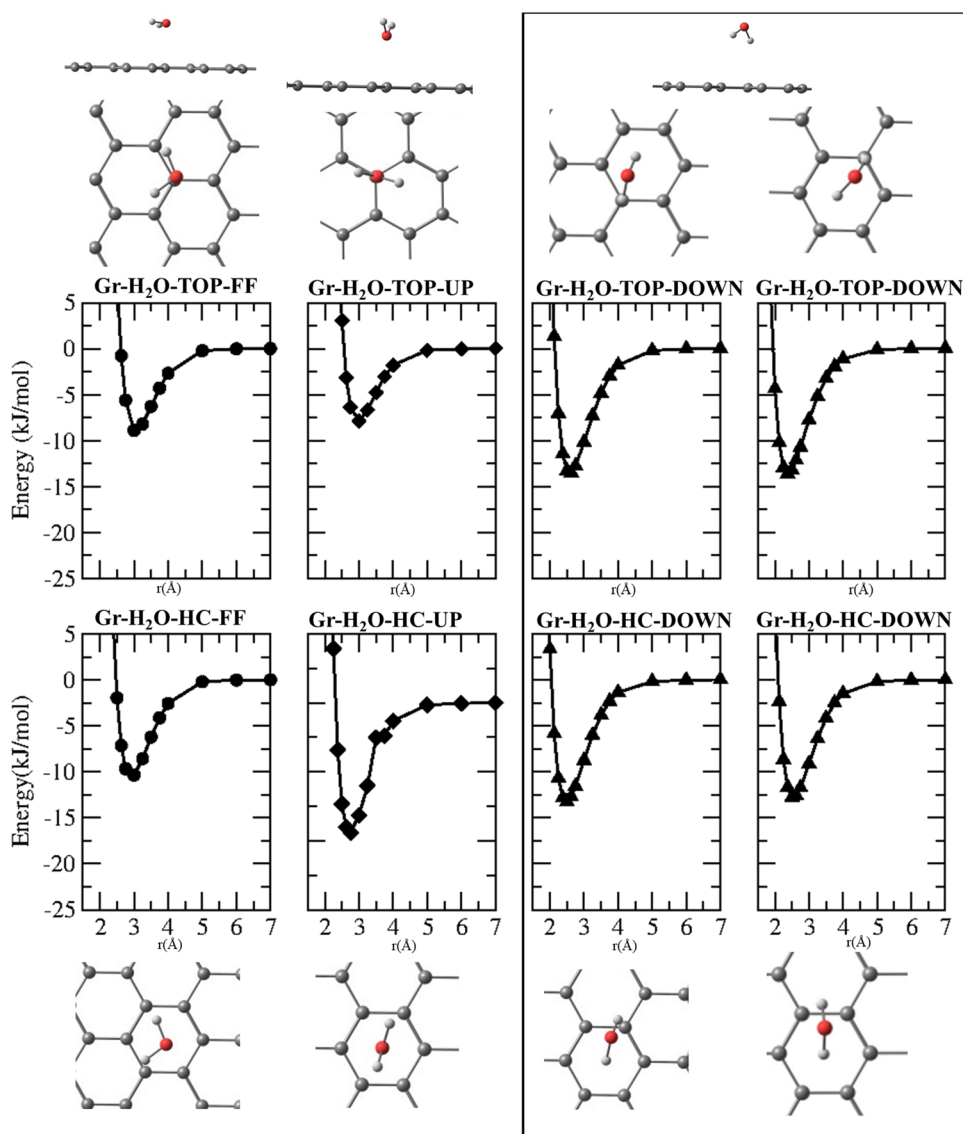


Fig. 2 Structural configurations and interaction energy curves computed for water interacting with pristine graphene (Gr-pristine): Gr-H₂O-(HC/TOP)-FF, Gr-H₂O-(HC/TOP)-UP, Gr-H₂O-(HC/TOP)-DOWN-1, Gr-H₂O-(HC/TOP)-DOWN-2



between -2.60 and -17.46 kJ/mol; the first energy was calculated with a GGA functional and the second with a van der Waals density functional (vdW-DF) [71]. These values are consistent with those presented in this work (-7.89 kJ/mol), since an LDA functional [50] and basis set superposition error (BSSE) correction [51] were used here.

The most stable configuration of water interacting with pristine graphene was DOWN (with the hydrogens facing downwards). In this position, we tested different angulations of entry of the H₂O molecule but did not observe significant changes in the energetic values over more stable distances as shown in Fig. 2. Comparing the interactions in Gr-H₂O-TOP-DOWN-1 with Gr-H₂O-TOP-DOWN-2, the lowest energies and minima were -13.50 kJ/mol (2.625 Å) and -13.62 kJ/mol (2.375 Å) for the two configurations, respectively. In addition, Gr-H₂O-HC-DOWN-1 and Gr-H₂O-HC-DOWN-2 presented the lowest

energies at 2.50 Å with -13.27 kJ/mol and -12.80 kJ/mol, respectively.

Voloshina and collaborators [72] previously evaluated the interaction of water with pristine graphene in the Gr-H₂O-HC-DOWN and Gr-H₂O-TOP-DOWN positions, yielding energies of -13.02 kJ/mol (3.06 Å) and -10.61 kJ/mol (3.06 Å), respectively. These values are very close to those presented here, although the authors adopted a different methodology, CCSD(T) [73–75]. Using a similar methodology (LDA) without a BSSE correction, Ma and colleagues obtained an energy of 14.5 kJ/mol for the water adsorbed on graphene in the DOWN position [76].

Silvestrelli and Ambrosetti (2014) [77] evaluated the interaction of water in graphene through DFT calculations with different functionals. The most energetic system of water interacting with graphene, Silvestrelli and Ambrosetti [77] obtained an energy of -13.50 kJ/mol using the LDA

functional. Experimental studies, on the other hand, have shown that the binding energy of water in graphite was in the order of -15.05 kJ/mol [78].

A different behaviour of water was observed in the DOWN configuration than in the other configurations; in this case, the TOP position was more energetic than the HC one. This was due to an approximation between the two atoms of hydrogen (H_2O) and carbon (Gr). In addition, we observed that the point of greatest interaction between water and Gr-pristine occurs at a shorter distance, compared to the other configurations (Gr-H₂O-HC-UP/Gr-H₂O-TOP-UP and Gr-H₂O-HC-FF /Gr-H₂O-TOP-FF).

In general, previous studies [79] employing first principles calculations using different types of functionals for the van-der-Waals interactions [70, 76] have shown that configurations with one or two OH bonds of the water molecule

pointing down to the graphene sheet were energetically favoured, having similar adsorption energies and therefore similar stabilities. The adsorption energies and geometries were in good agreement with data reported in previous works [70–76]: The adsorption energies were ~ 2.89 kJ/mol for PBE, ~ 13.02 kJ/mol for DFT-D2, ~ 14.18 kJ/mol for vdW-DF, and ~ 14.66 eV for optPBE-vdW.

Interactions of water with vacancy defect-bearing graphene

Figures 3 and 4 illustrate the interaction of water with graphene with two types of vacancy defects. In the first case, shown in Fig. 3, one carbon atom was removed from the graphene hexagonal network (Vac-1C) and in the second, illustrated in Fig. 4, four carbon atoms were removed (Vac-4C).

Fig. 3 Structural configurations and interaction energy curves computed for water interacting with graphene containing a vacancy (one missing carbon atom): Vac-1C-H₂O-(HC/TOP)-FF, Vac-1C-H₂O-(HC/TOP)-UP, Vac-1C-H₂O-(HC/TOP)-DOWN

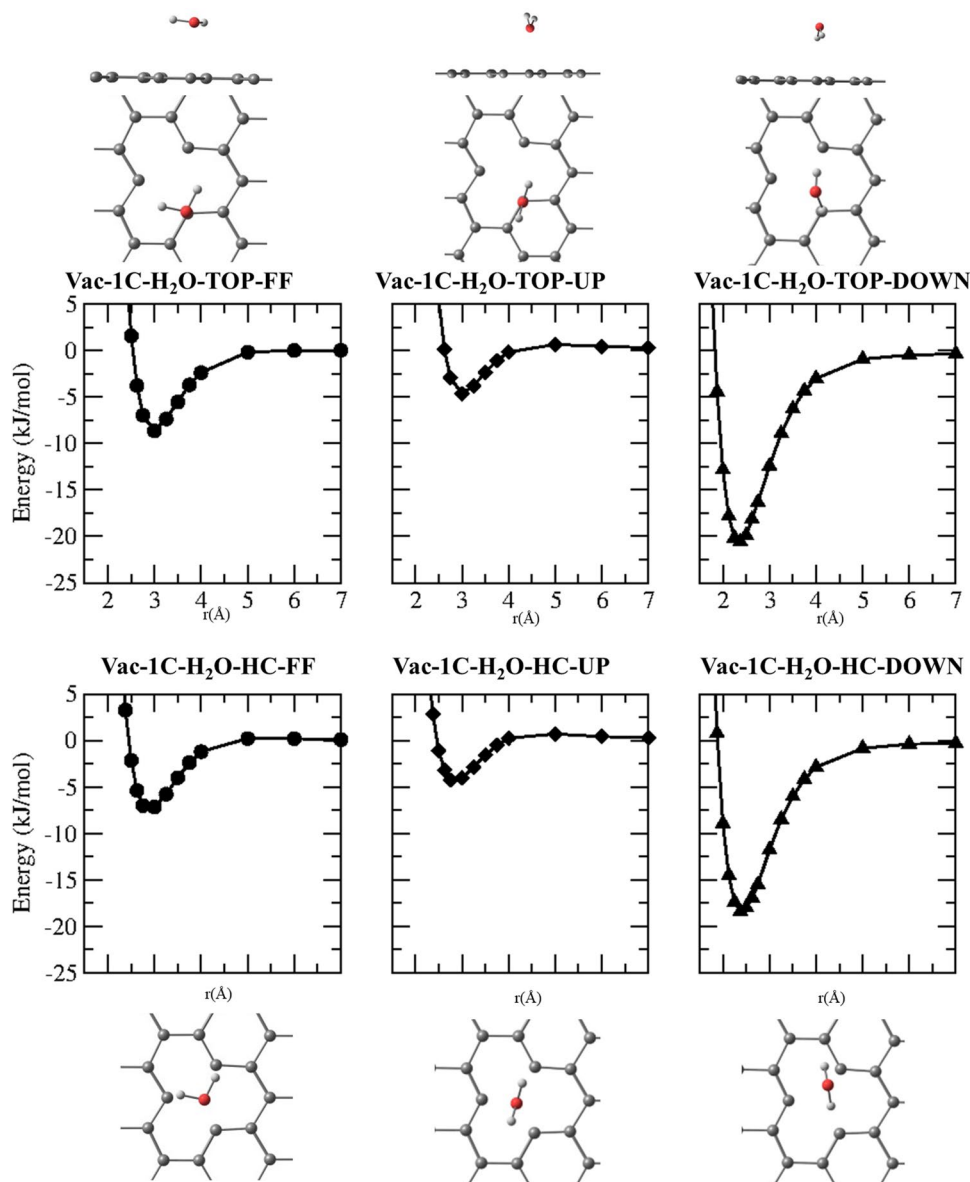
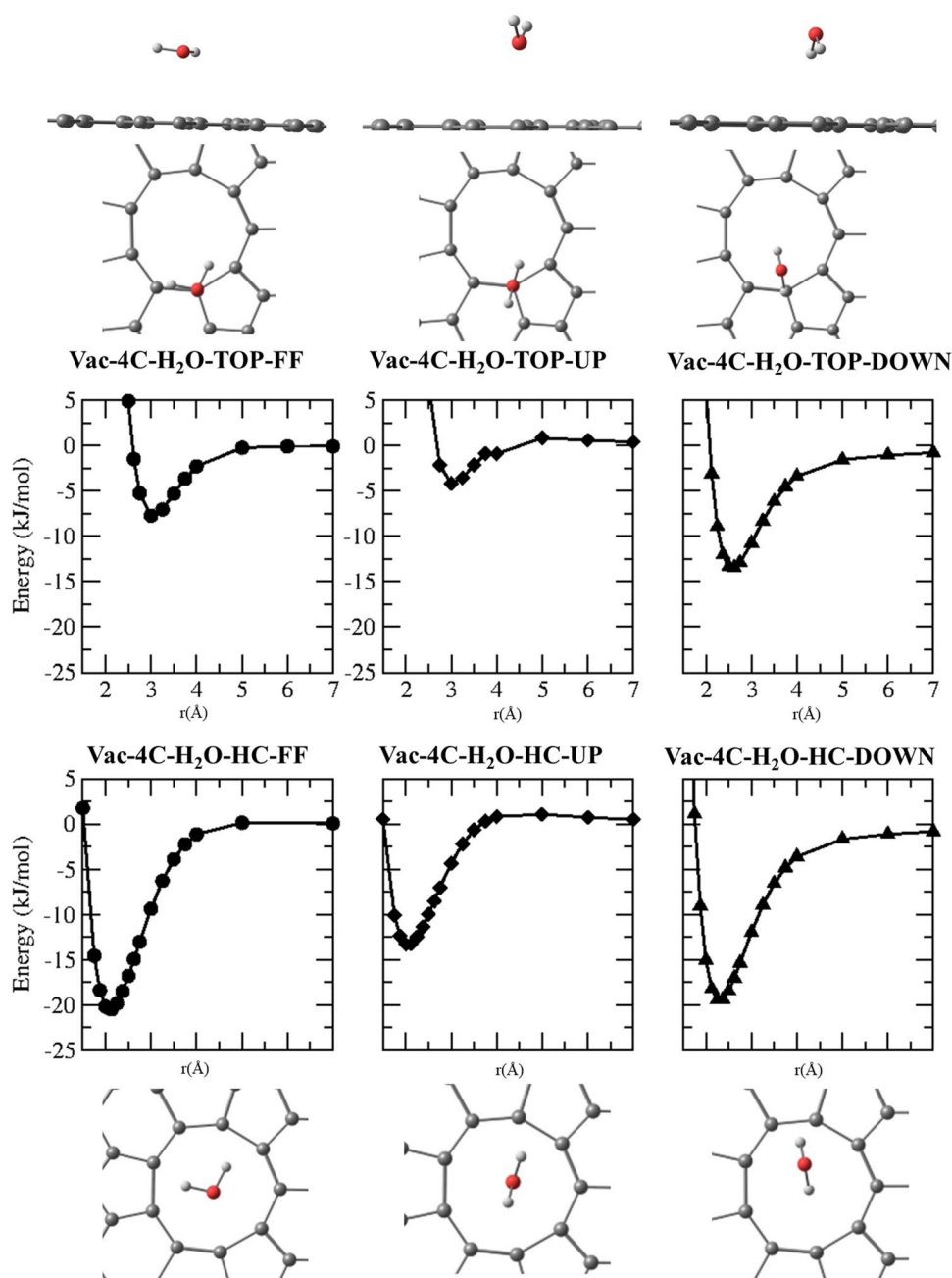


Fig. 4 Structural configurations and interaction energy curves computed for water interacting with graphene containing a vacancy (four missing carbon atoms): Vac-4C-H₂O-(HC/TOP)-FF, Vac-4C-H₂O-(HC/TOP)-UP, Vac-4C-H₂O-(HC/TOP)-DOWN



The structures were then relaxed until the convergence criteria described in the methodology section were reached. The average diameters for Vac-1C and Vac-4C were 2.60 and 4.60 Å, respectively. The same scheme as described earlier was used to investigate the interactions with water: face to face (Vac-1C/Vac-4C)-H₂O-FF, T-shaped with oxygen facing down (Vac-1C/Vac-4C)-H₂O-UP, and T-shaped with the hydrogens facing down (Vac-1C/Vac-4C)-H₂O-DOWN.

Figure 3 shows the energy curves of the interaction of water with Vac-1C. The first configuration studied was Vac-1C-H₂O-TOP-FF in which the highest binding energy was -8.65 kJ/mol. In the case of Vac-1C-H₂O-HC-FF, where the

water molecule was arranged in the centre of the vacancy, the energy was -7.15 kJ/mol. In both cases, the best stability point was at a distance of $r = 3.00$ Å.

When arranged in the UP position (oxygen facing downwards), the water on the graphene sheet did not show significant changes in energy in the TOP or HC position, with values of 4.64 and -4.26 kJ/mol, respectively. In this case, there was a small shift in the distance from the energy minimum, from $r = 3.00$ Å (Vac-1C-H₂O-TOP-UP) to $r = 2.75$ Å.

The highest values of binding energy (lowest energy) were obtained when the water was arranged with the hydrogens facing downwards (DOWN). For the

Vac-1C-H₂O-TOP-DOWN configuration the minimum energy was -20.60 kJ/mol, and for Vac-1C-H₂O-HC-DOWN an energy of -18.41 kJ/mol was obtained. In both cases the minimum energy was at a distance $r = 2.375 \text{ \AA}$.

Figure 4 shows the configurations and interaction energy curves calculated for the interactions of water and Vac-4C, in kJ/mol units.

When the water molecule was arranged in a face-to-face configuration on the Vac-4C in the TOP position, a minimum interaction energy of -7.74 kJ/mol was obtained and the minimum energy distance was $r = 3.00 \text{ \AA}$. The same configuration interacting in the HC position of the vacancy occurred with an increase of 260% of energy, becoming -20.49 kJ/mol; the distance of greater stability also decreased to $r = 2.125 \text{ \AA}$.

In the UP configuration with the oxygen facing downwards, the interaction at the TOP position had a minimum energy of -4.19 kJ/mol. Changing to the HC position increased it to -13.28 kJ/mol, that is, the energy increased by more than 300% relative to the TOP position. A modification of the distances also occurred; while in Vac-4C-H₂O-UP-TOP $r = 3.00 \text{ \AA}$, the equilibrium distance for Vac-4C-H₂O-UP-HC was $r = 2.00 \text{ \AA}$.

In the DOWN position, where the water was arranged with the hydrogen atoms facing the Vac-4C, the Vac-4C-H₂O-DOWN-TOP configuration had an energy minimum of -13.47 kJ/mol with $r = 2.625 \text{ \AA}$. In the Vac-4C-H₂O-DOWN-HC configuration, the minimum energy occurred with $r = 2.25 \text{ \AA}$, and in this case, the minimum energy was -19.44 kJ/mol.

The interactions of the water molecules with Vac-4C were very similar between the different configurations in the HC position. When water interacted with Vac-4C in the TOP position, the most stable distances and energies were similar or inferior to the values of Gr-pristine or Vac-1C. However, it was energetically more favorable for water to interact with Vac-4C in the HC position regardless of the studied configuration, and in these cases a greater proximity of the water molecule to the vacancy was observed.

The configuration that showed the best stability was Vac-4C-HC-FF with an energy of -20.49 kJ/mol. According to Lim and Kim [41], the face-to-face configuration is the most stable because as the H₂O molecule gradually flattens and approaches a pore, its hydrogen atoms will directly bond with two carbon atoms within the vacancy. This planar hydrogen bond geometry is possible because the folded geometry of the H₂O molecule is uniquely compatible with vacancy symmetry. Our observations also validate the conclusions of previous molecular dynamics studies which suggest that a greater pore hydrophilicity, i.e., improved hydrogen bonding interaction, results in an easier water permeation [79–85]. On a similar note, it would be important to consider permeation in the presence of multiple H₂O molecules. For example, the hydrogen bonding interaction

between two H₂O molecules across a pore has been shown to be capable of reducing the permeation energy barrier [86, 87].

The interactions of Gr, Vac-1C, and Vac-4C with water in the face-to-face (FF) configuration exhibited a similar behaviour, except for Vac-4C-FF-HC. In most cases, a greater stabilisation occurred at a distance of $r = 3 \text{ \AA}$ and the binding energies ranged from -7.15 to -10.34 kJ/mol. In the Vac-4C-FF-HC configuration, which was the exception, an energy increase of more than 100% was observed in relation to the energies of the others, and stabilisation occurred at $r = 2.125 \text{ \AA}$. This indicates that the size of the vacancy directly influenced the absorption.

We show here energy versus distance curves for the interaction of water in pristine graphene and with vacancy-type defects. However, here we show for the first time that there is a small orientational dependence on the adsorption energy of the water monomer, but rather a dependence on the position and size of the vacancy. These electronic structure approaches are becoming a robust and reliable tool and have the prospect of being routinely applied to surface adsorption problems [88]. Thus, the results presented open perspectives for the technological development of nanomembranes, as well as the understanding of water diffusion through pristine graphene and with vacancy-type defects.

Conclusions

We have evaluated the interaction of a water monomer with a pristine graphene sheet and with two vacancy models – removing one carbon atom (Vac-1C) or four atoms (Vac-4C) from the graphene lattice. Different orientations of water resulted in relatively different interactions with the graphene layer, but the binding energies were ultimately very similar. However, regardless of the configuration, the water monomer preferentially interacted with graphene through the centre of the greatest vacancy (Vac-4C), indicating that graphene with vacancies is favorable to be used with nanomembranes.

Our results suggest that water was preferentially arranged at the graphene surface in a Vac-1C-H₂O-TOP-DOWN conformation (-20.60 kJ/mol) at $r = 2.375 \text{ \AA}$. The energy given by the minimum was larger than the thermal energy and that of the hydrogen bond network, indicating that water will not flow through a pore in a thermal system. This result is consistent with those of atomistic simulations which indicate that water does not flow through pores below a threshold.

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Authors' contributions Mariana Zancan Tonel, João Pedro Kleinubing Abal, Solange Binotto Fagan, Marcia Cristina Bernardes Barbosa contributed to the design of the study, carried out the simulations and wrote the manuscript. Mariana Zancan Tonel, João Pedro Kleinubing Abal, Solange Binotto Fagan, Marcia Cristina Bernardes Barbosa analyzed the data with constructive discussions. Marcia Cristina Bernardes Barbosa supervised the research.

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Data availability N/A

Declarations

Ethics approval N/A

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Consent for publication The consent for publication was obtained from all participants.

Conflicts of interest The authors declare that they have no competing interests.

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